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VIDEO PULSE WIDTH MODULATION FOR OPTICAL FIBER TRANSMISSION.

By

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A Thesis Presented for the Degree of

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SUMMARY

This thesis describes theoretically the feasibility of two pulse width modulation (PWM) systems for transmission through an optical fiber.

The first part of this work is devoted to the electronics of the two PWM systems. A comparison of the performance of each system is carried out. This comparison takes into account spectra, harmonic distortion, bandwidth requirement, system complexity and output signal to noise ratio (SNR). A comparison of PWM SNR and PCM SNR in the presence of intersymbol interference (ISI) is made.

The second part is devoted to the optical system. The choice of optoelectronic devices, link losses, power budget, signal to noise ratio, and rise time budget are discussed.

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LIST OF ABBREVIATIONS AND SYMBOLS

Α	Modulating signal peak amplitude			
A _c	Carrier peak amplitude			
APD	Avalanche Photodiode			
α	Slicing level			
α(opt.)	Profile parameter			
В	Receiver Bandwidth			
CNR	Carrier to Noise Ratio			
γ	Receiver bandwidth to sampling rate ratio			
δ	Dirac impulse			
Δ	Index difference			
fc	Low pass filter cut off frequency			
fm	Modulating signal frequency			
f _s	Sampling rate			
FT	Fourier Transform			
IS	Instantaneous Sampling			
ISI	Intersymbol Interference			
θ ₁₁	Half power beam width in the plane 11 to the junction			
θ_{\perp}	Half power beam width in the plane \bot to the junction			
k	Duty cycle			
LD	Laser Diode			
LED	Light Emitting Diode			
ms	Mean square value			
M	Modulation index			
n	Refractive index			
NA	Numerical Aperture			
NS	Natural Sampling			
P _e	Probability of error			
PAM	Pulse Amplitude Modulation			

PCM	Pulse Code Modulation
PPM	Pulse Position Modulation
PWM	Pulse Width Modulation
rms	Root mean square
S/H	Sample and Hold
SIR	Signal to Interference Ratio
SNR	Signal to Noise Ratio
σ	Noise rms
Т	RC filter time constant
Tr	Rise time
Τ _s	Sampling period
τ	Pulse width
US	Uniform Sampling

.

CHAPTER 1

INTRODUCTION

1.1 OPTICAL FIBER CHARACTERISTICS

First of all it seems reasonable to motivate the use of optical fiber over other means of trunk routing of information, which are principally co axial cables, microwave and satellite broadcasting. A brief examination of each of the previously mentioned means of communication reveals their characteristics.

- Co axial cables present losses proportional to the square root of the bandwidth used and therefore limit transmission to a few hundred MHz at distances of the order of 1Km.

- Microwave transmission allows higher bandwidths to be used but they are subject to the problem of aerial alignment.

- Satellite communication could be applied to the distribution of wideband services direct from satellite to consumer, but each consumer would require a properly oriented aerial on a line of sight path to the satellite.

Optical fiber presents features that none of the previous systems does. Its advantages include the fact that since the link between source and detector is metal free, containing only glass, earth loop pick up problems can be eliminated. Optical fiber carries electromagnetic energy at optical wavelengths. Since glass is dielectric and since the fiber dimension is much smaller than the wavelength of the electromagnetic waves in the radio and microwave bands, it does not pick up such radiations. It is immune to electromagnetic interference. Furthermore, since the radiation from optical fiber is at optical frequencies it will not provoke interference at radio frequencies.

The fiber is a very wideband transmission meduim with a bandwidth potential of several GHz over 1Km and hundreds of MHz over 10Km without intervening electronics. Dispersion values of several tens of ns/Km down to a few ps/Km are possible. The equivalent bandwidths are tens of MHz/Km to tens of GHz/Km. Since

an optical carrier is in the region 10^{14} to 10^{15} Hz, even a bandwidth of 10GHz is a small fractional bandwidth and would cause constant attenuation. Hence, for optical fiber systems, fiber offers constant attenuation for any operating bandwidth, this means that fewer repeaters are to be used and link lengths are longer so that the electronics can be confined to the terminal building only.

The small size of the fiber avoids the handling problems from which copper cables suffer. A 1-Km fiber weights about 50Kg whereas 1Km of copper weights much more.

Assuming an inexpensive modulation scheme can be developed for optical fiber transmission, the advantages of the fiber will overcome the co axial cable's ability to cope with the analogue modulation.

1.2 OPTICAL FIBER MODULATION SCHEMES

The modulation schemes that can be used for an optical fiber system are:

- Analogue intensity modulation (IM)
- Analogue pulse modulation (PWM, PPM, PFM)

- Digital modulation (PCM, ASK, FSK, PSK)

Analogue intensity modulation is carried out by making the drive current proportional to the baseband information signal (time varying signal). A requirement for this modulation scheme is that a linear relation should exist between the source input drive current and the output power. This type of modulation is attractive in that the receiver design would be relatively simple except for the fact that the receiver would be very sensitive to power fluctuations in the received signal. This would make the receiver susceptible to noise problems. This and the signal degradation resulting from nonlinearities, which are a consequence of the transient response characteristic of sources (LEDs and LDs), make the implementation of analogue intensity modulation not attractive.

PCM has no serious competitor, but coding and decoding techniques are not easily achieved and raise serious financial questions. Furthermore, the transmission bandwidth required in an 8-bit digital transmission, assuming that the modulating signal is sampled at the minimum Nyquist sampling rate, is $8 \times 2 \times f_m$. In T.V. transmission systems, for example, the sampling rate must be an exact multiple of the colour subcarrier (4.43MHz) in order to avoid the sampling rate beating with the colour subcarrier which results in a degradation of the reconstructed picture. For a composite signal bandwidth of 5.5MHz including sound, only frequencies in the series 13.34MHz (4.43 \times 3), 17.7MHz (4.43 \times 4), 22.2MHz (4.43 \times 5) etc...must be used as the sampling rate. In the interest of minimum bandwidth, the sampling rate is chosen to be the colour subcarrier third harmonic, that is 3 \times 4.43MHz. This means that the bit rate should be 8 \times 3 \times 4.43bit/s which requires at least a bandwidth of 100MHz, making the constraint on the receiver more severe.

This leaves analogue pulse modulation such as PWM, PPM and PFM. PPM and PWM types of modulation are of considerable interest in optical communication systems, since it is relatively easy to modulate semiconductor sources by switching the drive current between high and low levels. Besides, analogue pulse modulation methods do not incur the electronic complexity of digital PCM, and modulation and demodulation are achieved with relatively simple electronics. One of the serious drawbacks of the analogue technique, whether PWM or PFM, is that it does not allow noise free regeneration of signals in case where repeaters are required.

For PWM the modulation displays a linear voltage to pulse width translation, for PFM a pulse repitition translation and for PPM a pulse position translation. Intensity modulation (IM) with direct detection conveys the pulse train on the optical carrier to the receiver. The performance of an analogue receiver is measured in terms of the output SNR. If we define the SNR improvement factor I as the difference (in dB) between the SNR and the CNR, ref.13 shows that PFM displays the greatest I, namely 19.2dB, whereas PPM and PWM display 4.2 and 1.6dB

respectively. The 3dB difference between PPM and PWM I is a consequence of the necessity to detect both edges of PWM pulses. The SNR, however, is not the only consideration in choosing one or other scheme. Accepting that multi_channel transmission is a necessary feature, the question of multiplexing must be examined. Leaving aside the possibility of optical wavelength multiplexing, two electrical domains remain, frequency and time multiplexing. PFM requires that individual channels must be first multiplexed in the frequency domain then presented to the modulator as a single baseband signal. Such an operation places a high demand on pulse repitition frequencies and modulation indices. PPM and PWM produce regularly periodic pulse trains and so lend themselves to multiplexing in the time domain. PPM modulation being synchronous, however, requires a clocked stage to generate the clock timing to serve as reference for demodulation. In contrast PWM is self clocking, that is the clock timing is directly present in the modulated signal.

1.3 PREVIOUS WORK

A colour T.V. broadcast system using PWM with uniform sampling over 1Km of multimode graded index fiber has been experimentally demonstrated by ref.14. This system used a combination of a surface emitter LED at the optical transmitter and a PIN photodiode at the receiver. SNRs of 40 to 50dB have been achieved for modulation indices in the range 0.1 < M < 0.5. The overall rise time achieved was 15ns which limited M to 0.6. It was concluded that PWM is indeed an attractive and viable technique of modulation for low cost and acceptable quality of video transmission. However, the theoretical analysis of the system has been neglected, and this project aims to investigate the feasibility of two PWM systems for optical fiber transmission. Throughout this investigation, all the calculations will be carried out with respect to video transmission specifications. For video systems a convenient sampling rate is 3×4.43 MHz i.e. 13.34MHz which gives a usable time slot of about 75ns. If the circuitery used allows rise and fall times of 12ns each, which correspond to a transmission bandwidth B = 40MHz, the usable time slot would be only 51ns which allows a modulation index M = 0.67 to be used. Therefore, faster

components are required to permit higher M to be used. The advantage of having a large M is an increase in the output SNR.

Ref.14 quotes the signal to interference ratio (SIR) as being 36dB at the end of the video receiver chain, and this must be seen as the difference between the signal fundamental power and the highest interference component power in the video band.

2.1 INTRODUCTION

In this chapter we will review the theory of pulse width modulation (PWM). Techniques of modulation and demodulation, spectra and transmission bandwidth required will be discussed. As we will see later on, PWM with uniform sampling (US) is generated when the modulating signal is first converted to a sample and hold (S/H) signal, which is a PAM signal with instantaneous sampling (IS) and with duty cycle k \approx 1. Therefore, it is instructive to review first the theory of PAM with IS.

2.2 PAM SIGNALS WITH INSTANTANEOUS SAMPLING

To convert analogue band limited data to a sampled form we should first sample it at least at Nyquist sampling rate. The latter is the frequency at which an analogue signal should be sampled in order that the recovery of the modulating signal will be possible. The minimum Nyquist sampling rate is twice the highest frequency component in the input signal. The sampled values of the analogue signal carry the original intelligence. A system transmitting these sampled values is called a PAM system. To achieve this modulation, a switching function representing the unmodulated pulse carrier is needed. The period of this carrier is called the time slot or sampling interval, its frequency is called the sampling rate and the time at which a sample is taken is called the sampling instant.

There are two types of PAM signals: PAM with instantaneous sampling (IS) and PAM with natural sampling (NS). In the former the sampling instant is not small compared to the time slot, and the sample consists of a narrow section of the modulating signal. In the latter the sampling instant is very small (approaching 0) and the sample represents exactly the value of the modulating signal at the sampling instant. This kind of sampling is, very often, called ideal sampling. A simplified block diagram of a PAM system with IS is given in Fig.2.1.

An impulse train $c_{\delta}(t)$ and the modulating signal s(t) are multiplied in an ideal multiplier, yielding $x_{\delta}(t)$. These impulses are then filtered by a holding filter whose impulse response is the basic pulse p(t), to yield an output pulse train x(t) with equally spaced pulses of identical shape but varying amplitudes. Fig.2.2 gives a plot of the waveforms of interest. For S/H signals the holding period τ is almost equal to the time slot T_s , therefore,

$$k = \tau / T_{\rm S} \simeq 1 \tag{2.1}$$

P(f) serves to hold the values of the instantaneous samples $s(kT_s)$ for a period determined by the duration of the basic pulse p(t) i.e τ . The multiplier with an impulse train is regarded as a device that samples the signal at the sampling points kT_s . With reference to Fig.2.1, the multiplier output is,

$$x_{\delta}(T) = s(t)c_{\delta}(t) = s(t)\sum_{-\infty}^{+\infty} \delta(t-kT_s)$$
(2.2)

The holding filter output, taking into account a proportionality constant M (modulation index) due to the circuitery used, is

$$x(t) = M p(t) * x_{\delta}(t) = M \int_{-\infty}^{+\infty} p(t) x_{\delta}(t-t') dt'$$
$$= M \sum_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} p(t') s(t-t') \delta(t-t'-kT_s) dt'$$
$$= M \sum_{-\infty}^{+\infty} s(kT_s) p(t-kT_s)$$
(2.3)

Eq.(2.3) is the equation representing PAM signals with IS.

2.2.1 Spectra of PAM signals with IS

We assume a cosinusoidal modulating signal given by,

$$s(t) = A \cos(2\pi f_m t)$$
(2.4)

and a rectangular pulse p(t) of width τ and amplitude p(0) = 1. Eq.(2.3) becomes,

$$x(t) = M p(t) * [s(t)c_{\delta}(t)]$$
 (2.5)



Fig.2.1 Block Diagram Of A PAM System With Instantaneous Sampling.



Fig.2.2 PAM Signal With Instantaneous Sampling,

,

The FT of eq.(2.5), making use of eqs.(A4.5) and (A4.6), is

$$X(f) = (M/T_{s}) \sum_{-\infty}^{+\infty} P(f)S(f-nf_{s})$$
(2.6)

We know that the FT of a cosinusoidal signal is,

$$S(f) = (A/2) \left[\delta(f+f_m) + \delta(f-f_m) \right]$$
(2.7)

Sustituting eq.(2.7) into eq.(2.6) we get,

$$X(f) = (MA/2T_{s}) \sum_{-\infty}^{+\infty} P(f) \left[\delta(f - nf_{s} - f_{m}) + \delta(f - nf_{s} + f_{m}) \right]$$

= (MA/2T_{s}) $\sum_{-\infty}^{+\infty} P(nf_{s} + f_{m}) \delta(f - nf_{s} - f_{m}) +$
(MA/2T_{s}) $\sum_{-\infty}^{+\infty} P(nf_{s} - f_{m}) \delta(f - nf_{s} + f_{m})$ (2.8)

Upon making an index change in the δ functions and summing up the appropriate terms, eq.(2.8) becomes,

$$X(\mathbf{f}) = (\mathbf{MA}/2\mathbf{T}_{\mathbf{S}}) \sum_{-\infty}^{-1} P(\mathbf{nf}_{\mathbf{S}} + \mathbf{f}_{\mathbf{m}}) [\delta(\mathbf{f} - \mathbf{f}_{\mathbf{m}} - \mathbf{nf}_{\mathbf{S}}) + \delta(\mathbf{f} + \mathbf{f}_{\mathbf{m}} + \mathbf{nf}_{\mathbf{S}})] +$$

$$(\mathbf{MA}/2\mathbf{T}_{\mathbf{S}}) \sum_{1}^{+\infty} P(\mathbf{nf}_{\mathbf{S}} + \mathbf{f}_{\mathbf{m}}) [\delta(\mathbf{f} - \mathbf{f}_{\mathbf{m}} - \mathbf{nf}_{\mathbf{S}}) + \delta(\mathbf{f} + \mathbf{f}_{\mathbf{m}} + \mathbf{nf}_{\mathbf{S}})] +$$

$$(\mathbf{MA}/2\mathbf{T}_{\mathbf{S}}) P(\mathbf{f}_{\mathbf{m}}) [\delta(\mathbf{f} - \mathbf{f}_{\mathbf{m}}) + \delta(\mathbf{f} + \mathbf{f}_{\mathbf{m}})]$$

$$= (\mathbf{MA}/2\mathbf{T}_{\mathbf{S}}) \sum_{-\infty}^{+\infty} P(\mathbf{nf}_{\mathbf{S}} + \mathbf{f}_{\mathbf{m}}) [\delta(\mathbf{f} - \mathbf{f}_{\mathbf{m}} - \mathbf{nf}_{\mathbf{S}}) + \delta(\mathbf{f} + \mathbf{f}_{\mathbf{m}} + \mathbf{nf}_{\mathbf{S}})] (2.9)$$

Taking the inverse FT of eq.(2.9) we get,

$$x(t) = (MA/T_s) \sum_{-\infty}^{+\infty} P(nf_s + f_m) \cos 2\pi (f_m + nf_s) t$$
$$= MAk \sum_{-\infty}^{+\infty} \frac{\sinh \pi (f_m / f_s + n)}{k\pi (f_m / f_s + n)} \cos 2\pi (f_m + nf_s) t \qquad (2.10)$$

Where k is the duty cycle given by eq.(2.1). Let,

$$S_n = MA \frac{\sin \pi (f_m/f_s + n)}{\pi (f_m/f_s + n)}$$
 (2.11)

The signal fundamental is obtained by substituting n = 0 into eq.(2.11),

$$S_0 = MA \frac{\sin(\pi f_m/f_s)}{\pi f_m/f_s}$$

The clock fundamental first sidetones are obtained by substituting $n = \pm 1$ into eq.(2.11),

$$S_{1} = MA \frac{\sin \pi (f_{m}/f_{s}+1)}{\pi (f_{m}/f_{s}+1)}$$
$$S_{-1} = MA \frac{\sin \pi (f_{m}/f_{s}-1)}{\pi (f_{m}/f_{s}-1)}$$

These components are computed for various M for a modulating signal input frequency $f_m = 2MHz$ and a sampling rate $f_s = 13.34MHz$. The relative powers of these components have to be calculated against a reference level. A suitable level is the amplitude of the signal fundamental with M = 1.

$$P_{rel}(dB) = 10 \log\left[\frac{S_n}{S_0(M=1)}\right]^2$$

= 20 log(S_n) + 0.32dB (2.12)

The components' relative powers are given in Tab.2.1 and sketched in Fig.2.3.

М	0.1	0.25	0.5
So (dB)	-20.0	-12.0	-6.0
S1 (dB)	-37.7	-29.7	-23.7
S ₋₁ (dB)	-35.1	-27.1	-21.1

Tab.2.1 Relative powers of the spectrum components of a PAM signal with IS for k = 1.





2.2.2 Demodulation of PAM signals with IS

The sidebands of the spectrum of a PAM signal with IS have different shapes i.e they are distorted. As a result low pass filtering alone is not sufficient. To recover the original modulating signal s(t) from its sample values without distortion, the incoming PAM signal must be itself instantaneously sampled and the resulting signal passed through the ideal low pass filter of eq.(2.13), namely

$$H(f) = \begin{cases} T_{s} & |f| \leq f_{s}/2 \\ 0 & |f| > f_{s}/2 \end{cases}$$
(2.13)

The block diagram of such a demodulator is given in Fig.2.4. With reference to this figure, the multiplier (sampler) output is,

$$y_{\delta}(t) = x(t) \sum_{-\infty}^{+\infty} \delta(t - kT_{s}) = \sum_{-\infty}^{+\infty} x(kT_{s}) \delta(t - kT_{s})$$
$$= M \sum_{-\infty}^{+\infty} s(kT_{s}) p(t - kT_{s}) \delta(t - kT_{s})$$
$$= Mp(0) \sum_{-\infty}^{+\infty} s(kT_{s}) \delta(t - kT_{s}) \qquad (2.14)$$

The FT of the filter output is,

$$Y(f) = H(f)Y_{\delta}(f) = (Mp(0)/T_{s}) H(f)\sum_{-\infty}^{+\infty} S(f-kf_{s})$$

= Mp(0) S(f) (2.15)

Eq.(2.15) shows that the filter output is the original modulating signal multiplied by a constant that can be eliminated through the amplification process. If the receiver is simply a low pass filter acting on the incoming PAM signals without subjecting them first to IS, the output signal will be,

$$y(t) = p(t)*s(t)$$
 (2.16)

which is the modulating signal convolved with the basic pulse p(t). If p(t) is rectangular and its width τ is made smaller, with reference to eq.(A4.6), the P(f) first zero crossing moves to higher frequencies and P(f) tends to be more flat within the signal fundamental sidebands, see Fig.2.5. Ref.4 quotes that as long as,

$$\tau \leq 0.1 \times T_s$$
 i.e $1/\tau \geq 10 \times f_s$ (2.17)
demodulation can be achieved by using merely a low pass filter, otherwise IS or



Fig.2.4 Block Diagram Of PAM Signals With Instantaneous Sampling Demodulator.



Fig.2.5 Demodulating A PAM Signal Using Simply A Low pass Filter.

equalisation and low pass filtering are required for demodulation.

In the case of a S/H signal the holding period is almost equal to the time slot, therefore, equalisation is required if only a low pass filter is used for demodulation. The transfer function of the equalising filter is the inverse of P(f) namely,

$$Q(f) = 1/P(f)$$

(2.18)

Fig.2.3 shows that, if a low pass filter is to be used for demodulation, even if f_m increases to 5.5MHz the only component that falls within the filter passband is the signal fundamental.

2.3 PULSE WIDTH MODULATION

PWM is generated when the analogue modulating signal is sampled and the variations in amplitude of these samples cause a variation in width of the carrier pulses which are transmitted at constant rate. There are three types of PWM signals: -PWM with trailing edge modulation: where the position of the leading edge is fixed and the trailing edge modulated.

-PWM with leading edge modulation: where the position of the trailing edge is fixed and the leading edge modulated.

- PWM with leading and trailing edge modulation: where both edges are modulated.

Generation of PWM commonly employs various combinations of a S/H circuit, a precision ramp generator and a comparator. As in many other forms of pulse modulation, the analogue signal must be sampled at regular intervals to obtain samples to assign to each pulse. To derive the mathematical equations representing PWM signals we assume a trailing edge modulation with non zero rise time pulses (a \neq 0). Fig.2.6 gives the graphical derivation of a PWM pulse with trailing edge modulation.

To avoid the overlapping between the different edges, the modulation s_k must satisfy the following condition,

 $|\mathbf{s}_k|_{\max} \leq T_s/2 - a$ (2.19)

where a is the pulse rise time.

A PWM signal with trailing edge modulation can be represented by,

$$l(t) = \sum_{-\infty}^{+\infty} q(s_k, t-kT_s)$$
 (2.20)

If uniform sampling is used, then

$$\mathbf{s}_{\mathbf{k}} = \mathbf{s}(\mathbf{k}\mathbf{T}_{\mathbf{s}}) \tag{2.21}$$

If natural sampling is used, then

 $\mathbf{s}_{\mathbf{k}} = \mathbf{s}(\mathbf{t}_{\mathbf{k}}) \tag{2.22}$

where,

$$\mathbf{t}_{\mathbf{k}} = \mathbf{k}\mathbf{T}_{\mathbf{s}} + \mathbf{s}(\mathbf{t}_{\mathbf{k}}) \tag{2.23}$$





From Fig.2.6 we have the following equation,

$$dq(s,t)/dt = p(t+T_s/2) - p(t-s)$$
 (2.24)

For an infinity of pulses eq.(2.24) becomes,

$$\sum_{-\infty}^{+\infty} dq(s_k, t-kT_s)/dt = \sum_{-\infty}^{+\infty} p(t-kT_s+T_s/2) - \sum_{-\infty}^{+\infty} p(t-kT_s-s_k)$$
$$= dl(t)/dt$$
(2.25)

If pulses with zero rise times are used, instead of obtaining pulses p(t) we obtain impulses and eq.(2.25) becomes,

$$dl(t)/dt = \sum_{-\infty}^{+\infty} \delta(t - kT_s + T_s/2) - \sum_{-\infty}^{+\infty} \delta(t - kT_s - s_k)$$
$$= c_{\delta}(t + T_s/2) - x_{\delta}(t) \qquad (2.26)$$

For PWM there are two types of sampling: uniform and natural.

2.3.1 PWM with uniform sampling

In PWM with uniform sampling (US), a S/H circuit is used. The input signal is sampled and held at the sampling rate before being compared to the ramp voltage. Thus, the ramp voltage is compared to the value of the input modulating signal at uniform sampling instants. The block diagram of a popular PWM system with US is given in Fig.2.7(a). Fig.2.7(b) shows the generation of a PWM signal with US and with trailing edge modulation. Details on how this system works will be given in paragraph 2.3.2. The resulting PWM signal is a train of pulses whose trailing edges are governed by the sample values of the input signal.

2.3.1.1 Spectrum of PWM signals with US

To compute the spectrum of PWM signals with US we assume a cosinusoidal modulating signal, ideal pulses with zero rise times and a trailing edge modulation.

$$s(t) - A \cos(2\pi f_m t)$$
 (2.27)

where the amplitude A must satisfy the condition given by eq.(2.19) namely,

$$A < T_s/2$$
 i.e $M < 1$ (2.28)

where M is the modulation index which will be defined later on.





Eq.(A4.5) gives the expansion of $c_{\delta}(t)$ into Fourier series,

$$c_{\delta}(t) = (1/T_{s}) \sum_{-\infty}^{+\infty} e^{2\pi \eta j} f_{s} t$$
 (2.29)

Therefore,

$$c_{\delta}(t+T_{s}/2) = (1/T_{s}) \sum_{-\infty}^{+\infty} (-1)^{n} e^{2\pi j n f_{s} t}$$
 (2.30)

Substituting eqs.(2.21) and (2.27) into $x_{\delta}(t)$ we get,

$$x_{\delta}(t) = \sum_{-\infty}^{+\infty} \delta[t - kT_{s} - A \cos(2\pi f_{m}kT_{s})]$$
(2.31)

To determine the spectrum of $x_{\delta}(t)$, we should make use of Bennett's double Fourier series which has been applied by him to determine the spectra of PWM and PPM signals. The details of this method are very well developed in ref.5.

A periodic function g(y,z) of two independent variables y and z has to be found for $x_{\delta}(t)$ is periodic in two variables f_s and f_m . Let,

$$y = f_s t \tag{2.32}$$

$$z = f_m t \tag{2.33}$$

Then,

$$\times_{\delta}(t) = g(f_{s}t, f_{m}t) = g(y, z) = \sum_{-\infty}^{+\infty} \delta[t - kT_{s} - A \cos(2\pi f_{m}kT_{s})] \quad (2.34)$$

The function g(y,z) can be expanded in a double Fourier series,

$$x_{\delta}(t) = g(y,z) = \sum_{-\infty}^{+\infty} g_{mn} e^{2\pi j(my+nz)}$$
 (2.35)

where the Fourier coefficient g_{mn} are given by,

$$g_{mn} = \int_{0}^{1} \int_{0}^{1} g(y,z) e^{-2\pi j (my+nz)} dydz \qquad (2.36)$$

The coefficients g_{mn} have been found in ref.5, and are given by,

$$g_{mn} = [(-j)^n / T_s] J_n [2\pi A(mf_s + nf_m)]$$
 (2.37)

where J_n is the Bessel function of the first kind of order n given by,

$$J_{n}(\beta) = (1/2\pi) \int_{-\pi}^{+\pi} e^{j(\beta \sin u - nu)} du$$

=
$$[(-j)^{-n}/2\pi] \int_{-\pi}^{+\pi} e^{j(\beta \cos u - nu)} du$$
 (2.38)

Substituting eq.(2.37) into eq.(2.35) we get,

$$x_{\delta}(t) = (1/T_{s}) \sum_{-\infty}^{+\infty} (-j)^{n} J_{n} [2\pi A(mf_{s}+nf_{m})] e^{2\pi j(mf_{s}+nf_{m})t} (2.39)$$

Substituting eqs.(2.30) and (2.39) into eq.(2.26) we get,

$$dl(t)/dt = (1/T_{s}) \left[\sum_{-\infty}^{+\infty} (-1)^{n} e^{2\pi j n f_{s} t} - \sum_{-\infty}^{+\infty} (-j)^{n} J_{n} \left[2\pi A(m f_{s} + n f_{m}) \right] e^{2\pi j (m f_{s} + n f_{m}) t} \right]$$
(2.40)

The term corresponding to n = 0 of the first summation is equal to the term of the second summation corresponding to m = n = 0, therefore they can be subtracted. After integration we get,

$$1(t) = - (1/T_{s}) \sum_{\substack{j=0\\ m \neq 0}}^{+\infty} J_{n} [(2\pi A(mf_{s}+nf_{m})]] \frac{e^{2\pi j(mf_{s}+nf_{m})t}}{2\pi j(mf_{s}+nf_{m})} + (1/T_{s}) \sum_{\substack{j=0\\ n\neq 0}}^{+\infty} (-1)^{n} \frac{e^{2\pi jnf_{s}t}}{2\pi jnf_{s}} + C$$
(2.41)

where C is an integration constant.

The modulating signal amplitude A is made proportional to $T_s/2$, the constant of proportionality is the modulation index M which is the ratio of the peak to peak amplitude of the modulating signal to the amplitude of the ramp generator output.

$$A = MT_{s}/2$$
 (2.42)

Substituting eq.(2.42) into eq.(2.41) and expanding the latter we get,

$$l(t) = \sum_{\substack{n=0\\ m\neq 0}}^{+\infty} (-1)^{n} \frac{e^{2\pi jnf_{s}t}}{2\pi jn} - \sum_{\substack{m=0\\ m\neq 0}}^{+\infty} \frac{J_{0}(\pi MM)}{2\pi jm} e^{2\pi jmf_{s}t} - \frac{e^{2\pi jmf_{s}t}}{2\pi jm}$$

$$= \sum_{\substack{n=0\\ m,n\neq 0}}^{+\infty} \frac{(-j)^{n}}{2\pi jT_{s}(mf_{s}+nf_{m})} e^{2\pi j(mf_{s}+nf_{m})t} + C$$

$$= \sum_{\substack{n=0\\ m\neq 0}}^{+\infty} \frac{(-1)^{n} - J_{0}(\pi nM)}{2\pi jn} e^{2\pi jnf_{s}t} - \frac{e^{2\pi jmf_{s}t}}{2\pi jmf_{s}t} - \frac{e^{2\pi jmf_{s}t}}$$

$$\sum_{-\infty}^{+\infty} (-j)^{n} \frac{J_{n}[\pi M(m+nf_{m}/f_{s})]}{2\pi j(m+nf_{m}/f_{s})} e^{2\pi j(mf_{s}+nf_{m})t} + C \quad (2.43)$$

m, n \neq 0

Let,

$$C_{n} = \frac{(-1)^{n} J_{0}(n\pi M)}{n\pi}$$
(2.44)

and,

$$S_{m,n} = \frac{J_n[\pi M(m+nf_m/f_s)]}{\pi (m+nf_m/f_s)}$$
(2.45)

۰.

The clock fundamental is,

$$C_1 = \frac{1+J_0(\pi M)}{\pi}$$

The signal fundamental is,

$$S_{0,1} = \frac{J_1(\pi M f_m/f_s)}{\pi f_m/f_s}$$

The signal second harmonic is,

$$S_{0,2} = \frac{J_2(2\pi Mf_m/f_s)}{2\pi f_m/f_s}$$

The signal third harmonic is,

$$S_{0,3} = \frac{J_{3}(3\pi Mf_{m}/f_{s})}{3\pi f_{m}/f_{s}}$$

The clock fundamental first sidetones are,

$$S_{1,1} = \frac{J_{1}[\pi M(1+f_{m}/f_{s})]}{\pi(1+f_{m}/f_{s})}$$
$$S_{1,-1} = \frac{J_{-1}[\pi M(1-f_{m}/f_{s})]}{\pi(1-f_{m}/f_{s})}$$

The clock fundamental second sidetones are,

$$S_{1,2} = \frac{J_{2}[\pi M(1+2f_{m}/f_{s})]}{\pi(1+2f_{m}/f_{s})}$$
$$S_{1,-2} = \frac{J_{-2}[(\pi M(1-2f_{m}/f_{s})]}{\pi(1-2f_{m}/f_{s})}$$

The clock fundamental third sidetones are,

$$S_{1,3} = \frac{J_{3} [\pi M (1+3f_{m}/f_{s})]}{\pi (1+3f_{m}/f_{s})}$$
$$S_{1,-3} = \frac{J_{-3} [\pi M (1-3f_{m}/f_{s})]}{\pi (1-3f_{m}/f_{s})}$$

These components are computed for a modulating signal input frequency

 $f_m = 2MHz$ and a sampling rate $f_s = 13.34MHz$ for various M. The computation is done against a reference level which is the amplitude of the signal fundamental with M = 1. The relative powers of the components are calculated through eq.(2.46) namely,

$$P_{rel} = 10 \log \left[\frac{\text{component's amplitude}}{S_0(M=1)}\right]$$

= 20 log(component's amplitude) + 6dB (2.46) The results are given in Tab.2.2 and a plot of these components is given in Fig.2.8.

Μ	0.1	0.25	0.5
C1	2.0	1.4	-0.6
So,1	-20.0	-12.0	-6.0
So, 2	-53.3	-36	-25.1
So,3	-81.3	-57.8	-40.1
S _{1,1}	-20.1	-13.0	-9.8
S1,-1	-20.1	-12.5	-8.1
S1,2	-39.7	-24.7	-15.0
S1,-2	-45.3	-30.8	-18.0
S1,3	-62.0	-38.3	-22.2
S1,-3	-77.3	-54.0	-36.2

Tab.2.2 The relative powers of the spectrum of a PWM signal with US.





2.3.1.2 Demodulation of PWM signals with US

The PWM demodulator comprises a non linear device called a slicer. The latter removes as much noise as possible from the incoming PWM signals. The slicer output pulses are rectangular and can be represented by,

$$l(t) = \sum_{-\infty}^{+\infty} q(s_k, t - kT_s)$$
 (2.47)

Next, the slicer output is integrated by a device giving the area of each pulse. This can be achieved by passing a current proportional to the PWM pulses width into a capacitor that is discharged at the beginning of each pulse. The output of the integrator is sampled at a uniform rate to yield a S/H signal (PAM signal with IS and $k \approx 1$), this detector is called a S/H detector. Finally, the original modulating signal may be recovered without distortion as indicated in paragraph 2.4.

The block diagram of such a detector is given in Fig.2.9. The pulses arriving at the input port of the detector are distorted and noise is superimposed upon them. The slicer output consists of rectangular pulses whose widths are different from the actual widths, an illustration being given in Fig.2.10.

The integrator output is,

$$v(t) = g(t)*l(t) = \begin{cases} +\infty \\ g(t')l(t-t')dt' \end{cases}$$
(2.48)

The integrator can be seen as a linear filter whose impulse response is,

$$g(t) = \begin{cases} 1 & |t| \leq T_s/2 \\ 0 & |t| > T_s/2 \end{cases}$$
(2.49)

In practice, we must sample and hold the signal issued from the integrator and then subject it to IS, but in theory the overall effect is as if the integrator output has been only instantaneously sampled. The sampler output is therefore,

$$u_{\delta}(t) = v(t) \sum_{-\infty}^{+\infty} \delta(t - kT_s) = \sum_{-\infty}^{+\infty} v(kT_s) \delta(t - kT_s)$$
(2.50)

For the k^{th} pulse, and making use of eq.(2.48), $v(kT_s)$ is,

$$v(kT_{s}) = \begin{cases} s_{k}+kT_{s}-d_{k}' \\ (k-1/2)T_{s}+d_{k} \end{cases} g(t')l(kT_{s}-t')dt' \end{cases}$$







Fig.2.10 Slicing Operation.
$$= \int_{(k-1/2)T_{s}+d_{k}}^{kT_{s}} dt' = s_{k} + T_{s}/2 - (d_{k}+d_{k}')$$

= $s(kT_{s}) + T_{s}/2 - (d_{k}+d_{k}')$ (2.51)

Substituting eq.(2.51) into eq.(2.50) we get,

$$u_{\delta}(t) = \sum_{-\infty}^{+\infty} [s(kT_{s}) + T_{s}/2 - (d_{k}+d_{k}')]\delta(t-kT_{s})$$

$$= \sum_{-\infty}^{+\infty} s(kT_{s})\delta(t-kT_{s}) + (T_{s}/2)\sum_{-\infty}^{+\infty} \delta(t-kT_{s}) -$$

$$= \sum_{-\infty}^{+\infty} (d_{k}+d_{k}')\delta(t-kT_{s})$$
(2.52)

Taking the FT of eq.(2.52) we get,

$$U_{\delta}(f) = (1/T_{s}) \sum_{-\infty}^{+\infty} S(f - kf_{s}) + (1/2) \sum_{-\infty}^{+\infty} \delta(f - kf_{s}) - FT[\sum_{-\infty}^{+\infty} (d_{k} + d_{k}') \delta(t - kT_{s})]$$
(2.53)

The signal given by eq.(2.53) passes through the ideal low pass filter of eq.(2.13) to yield,

$$Y(f) = H(f)U_{\delta}(f) = \begin{cases} U_{\delta}(f) & |f| \leq f_{s}/2 \\ 0 & |f| > f_{s}/2 \end{cases}$$
$$= S(f) + (T_{s}/2)\delta(f) - H(f) FT[\sum_{-\infty}^{\infty} (d_{k}+d_{k}')\delta(t-kT_{s})] \quad (2.54)$$

The inverse FT of eq.(2.54) is,

$$y(t) = s(t) + T_{s}/2 - h(t) * \left[\sum_{-\infty}^{+\infty} (d_{k}+d_{k}') \delta(t-kT_{s})\right]$$
(2.55)

where h(t) is the ideal low pass filter impulse response given by,

$$h(t) = \frac{\sin \pi f_s t}{\pi f_s t}$$
(2.56)

Finally, the detector output is,

$$y(t) = T_{s}/2 + s(t) - \sum_{-\infty}^{+\infty} (d_{k}+d_{k}') \frac{\sin\pi(t-kT_{s})f_{s}}{\pi(t-kT_{s})f_{s}}$$
 (2.57)

The detector output comprises a DC component $T_s/2$, the original modulating signal s(t) and a band limited noise term, of sample value $(d_k + d_k)$, that we shall call $y_n(t)$. Neglecting the noise term of eq.(2.57) we have,

$$u_{\delta}(t) = \sum_{-\infty}^{+\infty} s(kT_{s}) \delta(t-kT_{s}) + (T_{s}/2) \sum_{-\infty}^{+\infty} \delta(t-kT_{s})$$
$$= s(t) \sum_{-\infty}^{+\infty} \delta(t-kT_{s}) + (T_{s}/2) \sum_{-\infty}^{+\infty} \delta(t-kT_{s}) \qquad (2.58)$$

The FT of eq.(2.58) is,

$$U_{\delta}(f) = (1/T_{s}) \sum_{-\infty}^{+\infty} S(f - nf_{s}) + (1/2) \sum_{-\infty}^{+\infty} \delta(f - nf_{s})$$
(2.59)

If s(t) is cosinusoidal its FT is given by eq.(2.7), and eq.(2.59) becomes,

$$U_{\delta}(f) = (A/2T_{s}) \sum_{-\infty}^{+\infty} [\delta(f - nf_{s} + f_{m}) + \delta(f - nf_{s} - f_{m})] + \frac{1}{-\infty}$$

$$(1/2) \sum_{-\infty}^{+\infty} \delta(\mathbf{f} - \mathbf{nf}_{\mathbf{s}})$$
(2.60)

Upon making a change of index in the δ functions we get,

$$U_{\delta}(f) = (A/2T_{s}) \sum_{-\infty}^{+\infty} \left[\delta(f+f_{m}+nf_{s}) + \delta(f-f_{m}-nf_{s}) \right] +$$

$$(1/2) \sum_{-\infty}^{+\infty} \delta(f-nf_{s})$$

$$(2.61)$$

Upon taking the inverse FT of eq.(2.61) and making use of eq.(2.42) we get,

$$u_{\delta}(t) = (M/2) \sum_{-\infty}^{+\infty} \cos 2\pi (f_{m} + nf_{s})t + (1/2) \sum_{-\infty}^{+\infty} \delta(t - kT_{s})$$
(2.62)

Eq.(2.62) gives the output signal prior to low pass filtering. It shows that for any M < 1 and for f_m up to 5.5MHz the only component that falls within the filter

passband is the signal fundamental. This means that a combination of a PWM with US transmitter and a S/H receiver has no limitation on M (M < 1) and this for frequencies covering the whole video band.

2.3.2 PWM with natural sampling

In PWM with natural sampling (NS) although the sampling rate is fixed the sampling instant can vary anywhere within the time slot due to the amplitude of the modulating signal being compared directly with the ramp voltage. The block diagram of a typical system generating PWM signals with NS along with the waveforms of interest are given in Fig.2.11. The ramp generator produces a ramp voltage which has a peak to peak amplitude slightly larger than the maximum amplitude range of the input signal. The comparator is a high gain amplifier intended for two_state operation. If the input signal is higher than a preset reference level, the comparator output is held in one state. Whenever the input signal is less than the reference level the output is held in the other state. In this circuit the first crossing of the reference level indicates the clock timing and the second one generates the variable trailing edge. The comparator.

2.3.2.1 Spectrum of PWM signals with NS

As before, we assume a cosinusoidal modulating signal s(t) given by eq.(2.27), and a trailing edge modulation. A should satisfy the condition given by eq.(2.28). To be able to use the property given by eq.(A3.6) we should have in addition to the previous condition the following one as well,

 $|s'(t)|_{max} < 1$ i.e $A < 1/2\pi f_m$ or $M < f_s/\pi f_m$ (2.63) Substituting eq.(2.27) into eq.(2.26), which gives the analytical expression of a PWM signal with zero rise time, and making use of the property given by eq.(A3.6) we find,

$$dl(t)/dt = c_{\delta}(t+T_s/2) - x_{\delta}(t)$$







Fig.2.11 (a) A Naturally Sampled PWM System And (b) The Waveforms Of Interest.

$$= (1/T_{s}) \sum_{-\infty}^{+\infty} (-1)^{n} e^{2\pi j n f_{s} t} - \sum_{-\infty}^{+\infty} \delta[t - kT_{s} - s(t_{k})]$$

$$= (1/T_{s}) \sum_{-\infty}^{+\infty} (-1)^{n} e^{2\pi j n f_{s} t} - [1 - s'(t)] \sum_{-\infty}^{+\infty} \delta[t - kT_{s} - s(t_{k})]$$

$$= - [1 + 2\pi A f_{m} sin(2\pi f_{m} t)] \sum_{-\infty}^{+\infty} \delta[t - kT_{s} - A cos(2\pi f_{m} t_{k})] +$$

$$(1/T_s) \sum_{-\infty}^{+\infty} (-1)^n e^{2\pi j n f_s t}$$
 (2.64)

As we did before, we expand $x_{\delta}(t)$ into a double Fourier series,

$$\mathbf{x}_{\delta}(\mathbf{t}) = \sum_{-\infty}^{+\infty} g_{mn} e^{2\pi \mathbf{j}(\mathbf{m}\mathbf{f}_{s} + \mathbf{n}\mathbf{f}_{m})\mathbf{t}}$$
(2.65)

The Fourier coefficients g_{mn} were determined in ref.5, to be,

$$g_{mn} = (1/2\pi T_{s}) \int_{-\pi}^{+\pi} e^{2\pi j m A f_{s} \cos \theta} e^{-j n \theta} d\theta + A f_{m} f_{s} \int_{-\pi}^{+\pi} e^{-2\pi j m A f_{s} \cos \theta} \sin \theta e^{-j n \theta} d\theta$$
(2.66)

The second term of eq.(2.66) may be integrated by parts to yield an integral having the same form as that of the first term when $m \neq 0$. Upon combining these terms we obtain for $m \neq 0$,

$$g_{mn} = \frac{mf_{s} + nf_{m}}{m} (1/2\pi) \int_{-\pi}^{+\pi} e^{-2\pi jmAf_{s}\cos\theta} e^{-jn\theta} d\theta$$
$$-\frac{mf_{s} + nf_{m}}{m} (-j)^{n} J_{n}(2\pi Amf_{s})$$
(2.67)

For the m = 0 case, we have directly from eq.(2.66),

$$g_{0n} = (f_s/2\pi) \int_{-\pi}^{+\pi} e^{-jn\theta} d\theta + Af_m f_s \int_{-\pi}^{+\pi} \sin\theta e^{-jn\theta} d\theta \qquad (2.68)$$

Therefore,

$$g_{00} = f_{s}$$

$$g_{01} = -j\pi A f_{s} f_{m}$$

$$g_{0,-1} = j\pi A f_{s} f_{m}$$

 $g_{0n} = 0$ for $|n| \ge 2$

Expanding the terms of the double summation of eq.(2.65) we get,

.

$$x_{\delta}(t) = \sum_{\substack{m\neq 0 \\ m\neq 0}}^{+\infty} g_{mn} e^{2\pi j (mf_{s}+nf_{m})t} + g_{00} + g_{01} e^{2\pi j f_{m}t} + g_{0,-1} e^{-2\pi j f_{m}t}$$
(2.69)

Substituting eqs.(2.67) and (2.68) into eq.(2.69) we get,

$$\begin{aligned} \mathbf{x}_{\delta}(\mathbf{t}) &= \mathbf{f}_{\mathbf{S}} + \mathbf{j}\pi \mathbf{A} \mathbf{f}_{\mathbf{S}} \mathbf{f}_{\mathbf{m}} \, \mathrm{e}^{-2\pi \mathbf{j} \mathbf{f}_{\mathbf{m}} \mathbf{t}} - \mathbf{j}\pi \mathbf{f}_{\mathbf{m}} \mathbf{f}_{\mathbf{S}} \, \mathrm{e}^{2\pi \mathbf{j} \mathbf{f}_{\mathbf{m}} \mathbf{t}} \, + \\ & \sum_{\substack{n=0\\m\neq 0}}^{+\infty} \frac{\mathbf{m} \mathbf{f}_{\mathbf{S}} + \mathbf{n} \mathbf{f}_{\mathbf{m}}}{\mathbf{m}} \, (-\mathbf{j})^{\mathbf{n}} \, \mathbf{J}_{\mathbf{n}}(2\pi \mathbf{A} \mathbf{m} \mathbf{f}_{\mathbf{S}}) \, \mathbf{e}^{2\pi \mathbf{j} (\mathbf{m} \mathbf{f}_{\mathbf{S}} + \mathbf{n} \mathbf{f}_{\mathbf{m}}) \mathbf{t}} \\ & - \sum_{\substack{m=0\\m\neq 0}}^{+\infty} \frac{\mathbf{m} \mathbf{f}_{\mathbf{S}} + \mathbf{n} \mathbf{f}_{\mathbf{m}}}{\mathbf{m}} \, (-\mathbf{j})^{\mathbf{n}} \, \mathbf{J}_{\mathbf{n}}(2\pi \mathbf{A} \mathbf{m} \mathbf{f}_{\mathbf{S}}) \, \mathbf{e}^{2\pi \mathbf{j} (\mathbf{m} \mathbf{f}_{\mathbf{S}} + \mathbf{n} \mathbf{f}_{\mathbf{m}}) \mathbf{t}} + \mathbf{f}_{\mathbf{S}} \\ & + 2\pi \mathbf{A} \mathbf{f}_{\mathbf{S}} \mathbf{f}_{\mathbf{m}} \, \sin(2\pi \mathbf{f}_{\mathbf{m}} \mathbf{t}) \qquad (2.70) \end{aligned}$$

Substituting eq.(2.70) into eq.(2.64) and integrating the latter we get,

$$l(t) = s(t)/T_{s} + (1/T_{s}) \sum_{\substack{j=0 \ m\neq 0}}^{+\infty} (-1)^{n} \frac{e^{2\pi j n f_{s} t}}{2\pi j n f_{s}} - \frac{e^{2\pi j (m f_{s} + n f_{m}) t}}{2\pi j (m f_{s} + n f_{m}) t} + C \qquad (2.71)$$

where C is an integration constant.

Taking apart the term corresponding to n = 0 from the double summation we get,

$$1(t) = s(t)/T_{s} + (1/T_{s}) \sum_{\substack{n=0 \ n\neq 0}}^{+\infty} (-1)^{n} \frac{e^{2\pi jnf_{s}t}}{2\pi jnf_{s}} - (1/T_{s}) \sum_{\substack{n=0 \ n\neq 0}}^{+\infty} J_{0}(2\pi Amf_{s})$$

$$\frac{e^{2\pi jmf_{s}t}}{2\pi jmf_{s}} - \sum_{\substack{n=0 \ n\neq 0}}^{+\infty} \frac{mf_{s} + nf_{m}}{m}}{(-j)^{n}} (-j)^{n} J_{n}(2\pi Amf_{s}) \frac{e^{2\pi j(mf_{s} + nf_{m})t}}{2\pi j(mf_{s} + nf_{m})} + C$$

$$= s(t)/T_{s} + (1/T_{s}) \sum_{\substack{n=0 \ n\neq 0}}^{+\infty} \frac{(-1)^{n} - J_{0}(2\pi Amf_{s})}{2\pi jnf_{s}} e^{2\pi jnf_{s}t} - \frac{e^{2\pi j(mf_{s} + nf_{m})t}}{2\pi j(mf_{s} + nf_{m})} + C (2.72)$$

$$\sum_{\substack{n=0 \ m, n\neq 0}}^{+\infty} (-j)^{n} \frac{mf_{s} + nf_{m}}{m}}{m} J_{n}(2\pi Amf_{s}) \frac{e^{2\pi j(mf_{s} + nf_{m})t}}{2\pi j(mf_{s} + nf_{m})} + C (2.72)$$

Substituting eq.(2.42) into eq.(2.72) we get finally,

$$l(t) = (M/2) \cos(2\pi f_{m}t) + \sum_{-\infty}^{+\infty} \frac{(-1)^{n} J_{0}(\pi nM)}{2\pi n} e^{2\pi j f_{s}t} - \frac{1}{2\pi n}$$

$$\sum_{\substack{n=0\\m,n\neq\sigma}}^{+\infty} (-j)^n \frac{J_n(m\pi M)}{2\pi jm} e^{2\pi j(mf_s+nf_m)} + C \qquad (2.73)$$

Let,

$$C_{n} = \frac{(-1)^{n} J_{0}(\pi nM)}{\pi n}$$
(2.74)
$$C_{m,n} = \frac{J_{n}(\pi nM)}{\pi m}$$
(2.75)

.

The clock fundamental is,

$$\begin{array}{r} 1+J_0(\pi M) \\ C_1 = -\frac{\pi}{\pi} \end{array}$$

The clock fundamental first sidetones are,

$$C_{1,1} = \frac{J_{1}(\pi M)}{\pi}$$

$$C_{1,-1} = -\frac{J_{1}(\pi M)}{\pi}$$

The clock fundamental second sidetones are,

$$C_{1,2} = \frac{J_{2}(\pi M)}{\pi}$$

$$C_{1,-2} = \frac{J_{2}(\pi M)}{\pi}$$

The clock fundamental third sidetones are,

$$C_{1,3} = \frac{J_{3}(\pi M)}{\pi}$$

$$C_{1,-3} = \frac{J_{3}(\pi M)}{\pi}$$

The clock fundamental fourth sidetones are

$$C_{1,4} = \frac{J_{4}(\pi M)}{\pi}$$

$$C_{1,-4} = -\frac{J_{4}(\pi M)}{\pi}$$

The signal fundamental is,

$$S_0 = M/2$$

These components are computed, as before, for $f_m = 2MHz$ and $f_s = 13.34MHz$. The computation is done against a reference level which is the amplitude of the signal fundamental with M = 1. The relative powers of these components are calculated through eq.(2.76) namely,

The results are given in Tab.2.3 and a sketch of the spectrum is given in Fig.2.12 for various M.

М	0.1	0.25	0.5
So	-20.0	-12.0	-6.0
Cı	2.0	1.4	-0.6
C1,1	-20.1	-12.8	-8.8
C1,2	-42.4	-26.8	-16.0
С1,3	-68.1	-44.4	-27.1
C1,4	-96.3	-64.6	-41.0

Tab.2.3 The relative powers of the spectrum of a PWM signal with NS.



Fig.2.12 Spectra Of A PWM Signal With Natural Sampling.

2.3.2.2 Demodulation of PWM signals with NS

From eq.(2.73) or alternatively from the spectra of PWM signals with NS given in Fig.2.12 it can be seen that there is no signal second harmonic, and that the isolation between the signal fundamental and the distortion components is large enough, therefore, low pass filtering alone is enough for demodulation. Even for large values of M the isolation is considerable and one still can use a low pass filter for demodulation, this when $f_m = 2MHz$. If f_m increases to cover the high video frequencies (4 to 5.5MHz) more clock sidetones fall within the filter passband. The relative powers of the highest component falling into the low pass filter passband, whose cut off frequency is $f_c = 6MHz$, are computed through eq.(2.76). The results are given in Tab.2.4.

	$0 < f_m < 2.6 MHz$		2.6 < fm < 4MHz	$4 < f_m < 6MHz$
M	So	S1,4	S1,3	S1,2
0.1	-20.0	-96.3	-68.1	-42.4
0.25	-12.0	-64.6	-44.4	-26.8
0.5	-6.0	-41.0	-27.1	-16.0

Tab.2.4 Relative powers of the highest component falling into the filter passband.

A sketch of these components is given in Fig.2.13. The upper curves of Fig.2.13 represent the signal fundamental relative powers for different M. The lower curves represent the highest other component that falls into the filter passband. Examination of Fig.2.13 shows that even with M as low as 0.1 we have an SIR of only 23dB for the range of frequencies 4 to 5.5MHz. This is due to the clock fundamental second sidetones whose effect comes at the critical part of the video band where the colour and high frequency information are carried. A relaxation of the limitation on M can be obtained by increasing f_s . However, the increase in the sampling rate is accompanied by an increase in the transmission bandwidth which can be seen as a



Fig.2.13 Highest Component Falling Into The Filter Passband Versus Modulating Signal Frequencies.



Fig.2.14 Permissible Modulation Indices Versus Sampling Rates To Get An SIR-36dB For Frequencies Covering The Video Band. waste of bandwidth. Fig.2.14 gives the allowable range of M in terms of f_s in order to get an SIR > 36dB.

2.3.3 Bandwidth required for the transmission of PWM signals

In the detection of PWM signals fidelity is required and the bandwidth must be determined by rise time consideration. In the case of an ideal low pass filter channel this bandwidth is given by eq.(A1.4) namely,

$$B_{req} = 0.5/T_r$$
 (2.77)

where T_r is the allowable rise time.

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2.4 COMPARISON OF PCM AND PWM SNRs

We assume a binary PCM signal in the presence of white gaussian noise, thermal noise for example. The expression giving the PCM SNR in terms of the probability of error P_e and the number of bits used, n, is derived by ref.4. This is,

$$(S_0/N_0) = \frac{2^{2n} - 1}{1 + 4 P_e(2^{2n} - 1)}$$
(2.78)

The probability of error Pe, in the absence of ISI, is given in the same ref.4,

$$P_{e} = 1/2 \operatorname{erfc}(A_{c}/2\sigma/2)$$
 (2.79)

where erfc(x) is the complementary error function given by,

$$\operatorname{erfc}(x) = 1 - \operatorname{erf}(x) = 1 - (2/\sqrt{\pi}) \int_{0}^{x^{2}} e^{-u^{2}} du$$
 (2.80)

 A_c the carrier peak amplitude, and σ the gaussian noise rms. Substituting eq.(2.79) into eq.(2.78) we get,

$$(S_0/N_0) = \frac{2^{2n} - 1}{1 + 2 \operatorname{erfc}(A_c/2\sigma\sqrt{2}) (2^{2n} - 1)}$$
(2.81)

If S_c designates the carrier peak power A_c^2 , and N_c the noise average power σ^2 , then eq.(2.81) becomes,

$$(S_0/N_0) = \frac{2^{2n} - 1}{1 + 2 \operatorname{erfc}(S_c/2N_c/2) (2^{2n} - 1)}$$
(2.82)

The PWM output SNR will be derived in chapter 3. For a sinusoidal modulating signal this SNR is,

$$(S_0/N_0) = (\pi^2/16) M^2(B/f_s)^2(S_c/N_c)$$
(2.83)

Plots of eq.(2.82) in terms of the CNR S_c/N_c for n = 4, 6, 8, and eq.(2.83) for a receiver bandwidth B = 40MHz, a sampling rate $f_s = 13.34$ MHz, and M = 1 are given in Fig.2.15. As it can be seen from this figure, when the receiver bandwidth B is $n \times f_s$, PCM presents far better SNR performance than PWM and this even for the most favorable PWM case i.e M = 1.

If the allowable B is less than that required (i.e. $n \times f_s$) then the PCM pulses will overlap and give rise to ISI. This will deteriorate the PCM SNR. The computation of this SNR in presence of ISI will be carried out in the next chapter.





2.5 CONCLUSION

We have treated, in this chapter, the theory of PWM with US and NS. We showed how easily modulation and demodulation can be achieved. In the case of PWM with NS, the demodulator consisted only of a low pass filter. But, there was a limitation on M (M < 0.1) in order to respond to video specification (SIR > 36dB). This will deteriorate the output SNR since the latter is proportional to the square of M. The constraint on M can be relaxed by increasing f_S , we showed that for $f_S > 28MHz$ the allowable M can be as high as 0.7. However, an increase in f_S is accompanied by an increase in B. The latter is determined by the reciprocal of the rise time permitted by the electronics used. For $f_S = 28MHz$, the usable time slot is 35ns. If M = 0.7 then, 25ns are used for modulation and 10ns for rise and fall times which corresponds to B = 100MHz. We should point out that components that allow $T_r = 5ns$ are very expensive and this defeats one of the most important criteria of choosing PWM as a modulation technique which is cost. We concluded the chapter by showing that PCM SNR is far better than PWM SNR when ISI is absent.

3.1 INTRODUCTION

In this chapter we seek to examine the effect of finite channel bandwidths on the detection of PWM signals with US. The simplest channel model that we can adopt is that of an RC filter followed by a threshold detector. Because of the limited channel bandwidth imposed by the components R and C, pulse distortion will be introduced. The latter is computed in terms of M and the channel bandwidth B. The output SNR will be determined as well, and a comparison between this SNR and PCM SNR in presence of ISI will be carried out as well.

3.2 BANDWIDTH LIMITATION DISTORTION

In what follows, we assume a PWM signal with US and trailing edge modulation,

$$l(t) = \sum_{-\infty}^{+\infty} q(s_k, t - kT_s)$$
(3.1)

where,

$$q(s_{k}, t-kT_{s}) = \begin{cases} 1 & s_{k} < t < T_{s}/2 \\ 0 & t > s_{k} \end{cases}$$
(3.2)

If $|s(kT_s)|_{max} < T_s/2$,

$$\mathbf{s}_{\mathbf{k}} = \mathbf{M} \ \mathbf{s}(\mathbf{k}\mathbf{T}_{\mathbf{s}}) \tag{3.3a}$$

For a normalised modulating signal sn(t) (sn(t) = s(t)/A), if $|sn(kT_s)| < 1$,

$$s_{k} = (MT_{s}/2) sn(kT_{s})$$
(3.3b)

An example of a PWM signal with US is given in Fig.3.1. Because of the finite bandwidth, the circuit output signal will be distorted i.e. the actual width of any pulse k will be different from the detected width. Fig.3.3 gives an illustration of the difference between the pulses widths. The PWM signal passes through the RC filter and the threshold detector as shown in Fig.3.2.

The RC filter time response to a rectangular pulse is derived in appendix A2 and given by eq.(A2.7). Upon changing the integration limits, eq.(A2.7) becomes,



Fig.3.1 Uniformly Sampled PWM Signal With Trailing Edge Modulation.



Fig.3.2 PWM Receiver Model.



Fig.3.3 Detection Of The kth Pulse.

$$y_{k}(t) = \begin{cases} v_{1}(t) = A_{c} \left[1 - e^{-(t+T_{s}/2)/T} \right] & -T_{s}/2 < t < s_{k} \\ v_{2}(t) = A_{c} \left[1 - e^{-(s_{k}+T_{s}/2)/T} \right] e^{-(t-s_{k})/T} & s_{k} < t < T_{s}/2 \end{cases}$$
(3.4)

where T is the time constant (T = RC).

The rising edge $v_1(t)$ reaches the slicing level α at $t = -\tau_1$,

$$\tau_{1} = T_{s}/2 + T \ln(1 - \alpha/A_{c})$$
(3.5)

The falling edge $v_2(t)$ reaches the slicing level α at $t = \tau_2$,

$$\tau_2 = s_k - T \ln(\alpha/A_c) + T \ln[1 - e^{-(s_k + T_s/2)/T}]$$
(3.6)

The detected pulse width is therefore,

$$\tau_{1} + \tau_{2} = s_{k} + T_{s}/2 + T \ln(A_{c}/\alpha - 1) + T \ln[1 - e^{-(s_{k} + T_{s}/2)/T}] \quad (3.7)$$

Distortion is the difference between the actual and detected pulse widths,

$$D_{k} = T \ln(A_{c}/\alpha - 1) + T \ln[1 - e^{-(s_{k} + T_{s}/2)/T}]$$
(3.8)

The expression given by eq.(3.8) depends on the slicing level α , on the modulation index M, and on the channel bandwidth B.

3.2.1 Dependence of distortion on α

To see whether or not there is a value of α for which D_k is minimum, we should take the derivative of D_k with respect to α for a given T,

$$dD_{k}/d\alpha = -\frac{A_{c}}{\alpha(A_{c}-\alpha)} \neq 0$$
(3.9)

Since eq.(3.9) is always different from 0, there is no specific value for which D_k is minimum. Examination of eq.(3.8) shows that the term on the right is always negative, therefore, to keep D_k low we should have

$$Ln(A_c/\alpha - 1) \ge 0$$
 i.e. $\alpha \le A_c/2$ (3.10)

3.2.2 Dependence of distortion on B

We have seen that to keep D_k low we should take the slicing level α less or equal to $A_c/2$. We assume that the latter is equal to half the carrier peak amplitude,

$$\alpha = A_c/2 \tag{3.11}$$

Substituting the value of α given by eq.(3.11) into eq.(3.8) we get,

$$D_{k} = T \ln[1 - e^{-(s_{k}+T_{s}/2)/T}]$$
(3.12)

Let,

$$y = e^{-(s_k + T_s/2)/T}$$
 (3.13)

The expansion of Ln(1-y) into Taylor series is,

$$Ln(1-y) = -(y + y^2/2 + y^3/3 + ... + y^n/n)$$
(3.14)

If,

$$y < 1/e$$
 i.e. $(s_k + T_s/2) > 1$ (3.15)

then, eq.(3.14) becomes,

$$Ln(1-y) \simeq -(y + y^2/2 + y^3/3)$$
(3.16)

with an absolute error of less than 0.6%.

Substituting s_k by its value given by eq.(3.3b) into eq.(3.15) we get,

$$M_{sn}(kT_{s}) > 2(T/T_{s}) - 1$$
 (3.17)

Substituting T by its value given by eq.(A5.3) we get,

$$M \operatorname{sn}(kT_s) > 2(f_s/2\pi B) - 1$$
 (3.18)

Let γ be the ratio of the channel bandwidth to the sampling rate,

$$\gamma = B/f_{\rm s} \tag{3.19}$$

Eq.(3.18) becomes then,

$$M sn(kT_s) > (1/\pi\gamma) - 1$$
 (3.20)

In the case of peak positive modulation i.e. $sn(kT_s) = +1$, eq.(3.20) becomes,

$$M > (1/\pi\gamma) - 1$$
 (3.21)

This condition is satisfied for any M (M < 1) for all practical values of

 γ (1.0 to 3.0). In the case of peak negative modulation i.e. $sn(kT_s) = -1$, eq.(3.20) becomes,

$$M < 1 - 1/(\pi\gamma)$$
 (3.22)

Making use of eqs.(3.16), (3.3), (A5,3) and (3.19), eq.(3.12) becomes,

$$D_{k} = T \left[e^{-\pi\gamma} e^{-M\pi\gamma} \sin(kT_{s}) + (1/2) e^{-2\pi\gamma} e^{-2\pi\gamma M} \sin(kT_{s}) + (1/3) e^{-3\pi\gamma} e^{-3\pi\gamma M} \sin(kT_{s}) \right]$$
(3.23)

Because D_k depends on the sample values of the modulating signal, we should take its average value,

Eq.(3.24) is computed for two types of modulating signals: a sinusoidal signal and a uniformly distributed random variable.

3.2.2.1 The average distortion for a sinusoidal modulating signal

We assume a normalised sinusoidal signal,

$$\operatorname{sn}(t) = \operatorname{sin}(\omega_{\mathrm{m}} t) \tag{3.25}$$

Eq.(3.24) becomes then,

We know from Fourier analysis that,

$$e^{jzsin(\omega_{m}t)} = \sum_{-\infty}^{+\infty} C_{n} e^{jn\omega_{m}t}$$
(3.27)

where the Fourier coefficient C_n are given by,

$$C_{n} = (1/T) \int_{0}^{T} e^{j[zsin(\omega_{m}t) - n\omega_{m}t]} dt \qquad (3.28)$$

Let,

$$\theta = \omega_{\rm m} t \tag{3.29}$$

Substituting eq.(3.29) into eq.(3.28) we get,

$$C_{n} = (1/2\pi) \int_{0}^{2\pi} e^{jz\sin\theta - jn\theta} d\theta - J_{n}(z) \qquad (3.30)$$

The above integral is a stantard one known as the n^{th} order Bessel function in z. Substituting eq.(3.30) into eq.(3.27) we get,

$$e^{jzsin(\omega_{m}t)} = \sum_{-\infty}^{+\infty} J_{n}(z) e^{jn\omega_{m}t}$$
(3.31)

Let,

$$x = -jz$$

Eq.(3.31) becomes then,

$$e^{-x\sin(\omega_{m}t)} = \sum_{-\infty}^{+\infty} J_{n}(jx) e^{jn\omega_{m}t}$$
(3.32)

Making use of the following bessel function property,

$$J_n(jx) = (j)^n I_n(x)$$
 (3.33)

where $I_n(x)$ is the nth order modified Bessel function, eq.(3.32) becomes,

$$e^{-x\sin(\omega_{m}t)} = \sum_{-\infty}^{+\infty} (j)^{n} I_{n}(x) e^{jn\omega_{m}t}$$
(3.34)

The same result applies if t takes on disctrete values kT_s.

$$e^{-x\sin(\omega_{m}kT_{s})} = \sum_{-\infty}^{+\infty} (j)^{n} I_{n}(x) e^{jn\omega_{m}kT_{s}}$$
(3.35)

We know that,

$$\langle e^{jn\omega_{m}t} \rangle = \begin{cases} 1 & n = 0 \\ 0 & n \neq 0 \end{cases}$$
(3.36)

The same result applies when t takes on discrete values kT_s ,

$$\langle e j n \omega_{m} k T_{s} \rangle = \begin{cases} 1 & n = 0 \\ 0 & n \neq 0 \end{cases}$$
(3.37)

Making use of eq.(3.37), the average value of eq.(3.35) is then,

$$\langle e^{-xsin(\omega_m kT_s)} \rangle = \sum_{-\infty}^{+\infty} (j)^n I_n(x) \langle e^{jn\omega_m kT_s} \rangle = I_0(x)$$
(3.38)

Finally, the average distortion for a sinusoidal modulating signal is,

As can be seen from eq.(3.39), the average distortion depends on M and on B (since γ is proportional to B). A plot of eq.(3.39) in terms of M for typical values of γ is given in Fig.3.4.



Fig.3.4 Distortion Versus Modulation Index For A Sinusoidal Modulating Signal.

3.2.2.2 The average distortion for a uniformly distributed random variable

The average distortion is computed this time for a uniformly distributed random variable sn of constant probability density function f(sn). We know from probability theory that if f(sn) is a p.d.f then,

$$\int_{-\infty}^{+\infty} f(sn)dsn = 1$$
(3.40)

Since,

$$|sn(kT_s)|_{max} < 1$$
 i.e. $-1 < sn < 1$ (3.41)

eq.(3.40) becomes,

$$\int_{-1}^{+1} f(sn) dsn = f(sn) \int_{-1}^{+1} dsn = 2 f(sn) = 1$$
(3.42)

Therefore,

$$f(sn) = 1/2$$
 (3.43)

The value of the p.d.f f(sn) being found we can compute the different averages for the determination of the average distortion given by eq.(3.24),

$$$$= (1/\pi M\gamma) sinh(\pi M\gamma) \qquad (3.44)$$$$

The computation of the other averages follows in the same maner, and eq.(3.24) becomes,

$$= \frac{e^{-\pi\gamma}}{4\pi^{2}M\gamma^{2}} [2 \sinh(\pi\gamma M) + (1/2) e^{-\pi\gamma} \sinh(2\pi\gamma M) + (2/9) e^{-2\pi\gamma} \sinh(3\pi M\gamma)]$$
(3.45)

If M = 0 (i.e. for an unmodulated pulse), eq.(3.45) becomes,

$$\langle D_k/T_s \rangle = (e^{-\pi\gamma/2\pi\gamma}) [1 + (1/2) e^{-\pi\gamma} + (1/3) e^{-2\pi\gamma}]$$
 (3.46)

A plot of eq.(3.45) in terms of M for typical values of γ is given in Fig.3.5. The curves of this figure exhibit the same features as those of the preceding figure: distortion increases for increasing M and decreases for increasing γ . To get low distortion levels we should have either small M or large γ . Small M causes a degradation in the output SNR, large γ (i.e. large B or short T_r) necessitates the



Fig.3.5 Distortion Versus Modulation Index For A Uniformly Distributed Random Variable.

use of fast components and these are unaffordable since they are expensive. It is therefore instructive to plot γ in terms of M for different distortion levels and SNR improvement factors I. The intersection of the first set of curves with the second determines the values of interest for both M and γ for given I. Before doing so we should first find the PWM SNR.

3.3 PWM OUTPUT SNR

The effect of noise is to modify the instants at which the incoming pulses cross the slicing level. Because the slicer is a non linear device, an exact analysis is not possible. However, a good approximate analysis is readily obtained for small noise magnitudes. The pulses arriving at the receiver input port are distorted. A part of this distortion comes from the transmission meduim and the other is caused by the circuitery used. To render the subsequent analysis exact, we assume that the overall effect is reduced to that of an RC filter. The block diagram of such a model is given in Fig.3.6. The waveforms of interest for the k^{th} pulse are given in Fig.3.7.

3.3.1 The output signal average power

The PWM with US detector output was derived in chapter 2. It was given by eq.(2.57) namely,

$$y(t) = T_{s}/2 + s(t) - \sum_{-\infty}^{+\infty} (d_{k}+d_{k}') - \frac{\sin\pi f_{s}(t-kT_{s})}{\pi f_{s}(t-kT_{s})}$$
(3.47)

The DC component can be neglected and the signal average power in the absence of noise is,

$$S_0 = \langle s^2(t) \rangle$$
 (3.48)

For a normalised modulating signal sn(t) and using eq.(3.3b), eq.(3.48) becomes

$$S_0 = (MT_s/2)^2 \langle sn^2(t) \rangle = (MT_s/2)^2 S_i$$
 (3.49)

where S_i is the normalised modulating signal average power.



Fig.3.6 A Model Of A PWM System.



Ideal PWM Pulse

Filter Output

Slicer Output in the Absence of Noise

Filter Output + Noise

Slicer Output in the Presence of Noise

Fig.3.7 System Waveforms Of Interest.

3.3.2 The output noise average power

For simplicity's sake we assume that the noise power associated with the displacement d_k is equal to that associated with the displacement d_k' . We are therefore led to consider the noise associated with one of 'the two edges only. We consider for example the leading edge displacement d_k and try to find its statistics in terms of the input noise $n_e(t)$. When noise is absent, the pulse k crosses the slicing level α at $t = \tau_1$ that can be determined from eq.(3.4),

$$\tau_1 = T \ln(1 - \alpha/A_c) + T_s/2$$
 (3.50)

When noise is present, the instant at which the pulse crosses α is $t = -\tau'_1$,

$$\tau'_{1} = T \ln(1-\alpha/A_{c}) + T \ln(1 + \frac{n_{e}}{A_{c} - \alpha}) + T_{s}/2$$
 (3.51)

If we assume that,

$$n_e \ll A_c - \alpha \tag{3.52}$$

then,

$$Ln(1 + \frac{n_e}{A_c - \alpha}) \simeq \frac{n_e}{A_c - \alpha}$$
(3.53)

Substituting eq.(3.53) into eq.(3.51) we get,

$$\tau'_{1} = T \ln(1-\alpha/A_{c}) + T \frac{n_{e}}{A_{c} - \alpha} + T_{s}/2$$
 (3.54)

The displacement d_k is then,

$$d_k = \tau'_1 - \tau_1 = T - \frac{n_e}{A_c - \alpha}$$
 (3.55)

As we did before, we assume that α is equal to half the carrier peak amplitude,

$$\alpha = A_c/2 \tag{3.56}$$

Substituting eq.(3.56) into eq.(3.55) we get,

$$d_k = (2T n_e)/A_c$$
 (3.5/)

Substituting eq.(3.57) into the detector band limited noise term of eq.(3.47) we get,

$$y_{n}(t) = \sum_{-\infty}^{+\infty} d_{k} \frac{\sin \pi f_{s}(t-kT_{s})}{\pi f_{s}(t-kT_{s})} = (2T/A_{c}) \sum_{+\infty}^{+\infty} n_{e} \frac{\sin \pi f_{s}(t-kT_{s})}{\pi f_{s}(t-kT_{s})}$$
(3.58)

The spectral density of $y_n(t)$, making use of appendix A8, is

$$Gy_{n}(f) = \begin{cases} (2T/A_{c})^{2} \sum_{s=0}^{+\infty} Gn_{e}(f-nf_{s}) & |f_{s}| < f_{s}/2 \\ 0 & |f_{s}| > f_{s}/2 \end{cases}$$
(3.59)

The total noise average power, using eq.(A6.3), is

$$N_{0} = 2 \langle y^{2}_{n}(t) \rangle = 2 \int_{-\infty}^{+\infty} Gy_{n}(f) df = (8T^{2}/A^{2}_{c}) \sum_{-\infty}^{+\infty} \int_{-f_{s}/2}^{f_{s}/2} Gn_{e}(f-nf_{s}) df$$
$$= (8T^{2}/A^{2}_{c}) \int_{-\infty}^{+\infty} Gn_{e}(f) df = (8T^{2}/A^{2}_{c}) \langle n^{2}_{e}(t) \rangle$$
$$= (8T^{2}N_{i})/A^{2}_{c}$$
(3.60)

where N_i is the input white gaussian noise average power. Substituting T by its value given by eq.(A5.3) we get,

$$N_{0} = \frac{2N_{i}}{\pi^{2}B^{2}A^{2}c}$$
(3.61)

This expression shows that N_0 increases when B decreases and decreases when the peak carrier power A_c^2 increases. Therefore, increasing B enables a smaller carrier power to be used.

Denoting A_c^2 as S_c , N_i as N_c and making use of eq.(3.49) we get the PWM SNR,

$$(S_0/N_0) = (\pi^2 M^2/8) (B/f_s)^2 S_i (S_c/N_c)$$

= $(\pi^2 M^2/8) \gamma^2 S_i (S_c/N_c)$ (3.62)

This equation shows the improvement in SNR possible in PWM systems by widening the channel bandwidth B. However, common to all systems that exchange bandwidth for noise improvement, PWM has a threshold level below which there is no SNR improvement. This threshold level is determined in what follows.

3.3.3 PWM CNR threshold level

When we determined PWM SNR we assumed that,

$$n_e \ll A_c/2 \tag{3.63}$$

The mean square of n_e is,

$$< n_e^2 > << A_c^2/4$$
 (3.64)

Substituting A_c^2 by S_c and $< n_e^2 >$ by N_c we get,

$$(S_{c}/N_{c}) >> 4$$
 (3.65)

Hence, to expect an SNR improvement in PWM systems the CNR should be much greater than 6dB.

3.3.4 PWM SNR improvement for a sinusoidal modulating signal

We assume a mormalised modulating signal sn(t),

$$\operatorname{sn}(t) = \operatorname{sin}(\omega_{\mathrm{m}} t) \tag{3.66}$$

Its average power is,

$$S_i = \langle sn^2(t) \rangle = 1/2$$
 (3.67)

substituting eq.(3.67) into eq.(3.62) we get,

$$(S_0/N_0) = (\pi^2 M^2/16)\gamma^2 (S_c/N_c)$$
(3.68)

The SNR improvement factor I is defined as the SNR to CNR ratio

$$I = \frac{(S_0/N_0)}{(S_c/N_c)} = \pi^2 M^2 \gamma^2 / 16$$
(3.69)

Eq.(3.69) can be written as follows,

$$\gamma = (4\sqrt{I})/\pi M \tag{3.70}$$

This equation is plotted in Fig.3.8 for I = 0.8, 2.3, 3.5dB.

3.3.5 PWM SNR improvement for a uniformly distributed random variable

We have seen that the p.d.f of the normalised random variable sn was,

$$f(sn) = 1/2$$
 (3.71)

Its average power is,

$$S_{i} = \langle sn^{2} \rangle = \int_{-1}^{+1} sn^{2} f(sn)dsn = 1/3$$
 (3.72)

Substituting eq.(3.72) into eq.(3.62) we get,

$$\gamma = (\sqrt{24 \text{ I}})/\pi\text{M} \tag{3.73}$$

A plot of eq.(3.73) is given in Fig.3.9 for I = 0.8, 2.3, 3.5dB.

3.4 BANDWIDTH __ MODULATION INDEX RELATIONSHIP FOR GIVEN DK

To plot γ in terms of M for given distortion levels, eq.(3.74) for a sinusoidal modulating signal,

$$D_{1} = (e^{-\pi\gamma/2\pi\gamma}) \left[I_{0}(M\pi\gamma) + (1/2) e^{-\pi\gamma} I_{0}(2M\pi\gamma) + (1/3) e^{-2\pi\gamma} I_{0}(3M\pi\gamma) \right]$$
(3.74)

and eq.(3.75) for a uniformly distributed random variable,

٨

$$D_{2} = (e^{-\pi\gamma/4\pi'\pi\delta'}) [2 \sinh(\pi\gamma M) + (1/2) e^{-\pi\gamma} \sinh(2\pi\gamma M) + (2/9) e^{-2\pi\gamma} \sinh(3\pi\gamma M)]$$
(3.75)

must be solved for given D_1 and D_2 . Since these equations are non linear a numerical computation is unavoidable. Each of the preceding equations has been solved for D_1 and D_2 equal to -25.0, -27.5, -30.0dB. Plots of eqs.(3.74) and (3.75) are given in Figs.3.8 and 3.9 respectively.









3.5 PCM SNR IN THE PRESENCE OF ISI

In this paragraph we will determine the PCM SNR in the presence of white gaussian noise and ISI due to the channel bandwidth limitation. This SNR is given in terms of P_e (probability of error). The exhaustive method calculates P_e by taking into account all the possible states of the received binary PCM signal using an N sample approximation. This method is too time consuming because the computation involved grows exponentially with N (2^N states of N truncated pulse train). In ref.7, a method giving P_e in terms of the first 2k moments of the ISI is considered. As before, to be able to carry out the computation, we assume that the receiver overall characteristic is reduced to that of an RC filter. The block diagram of such a receiver is given in Fig.3.10.

We assume a non return to zero (NRZ) PCM binary signal at the input of the RC filter,

$$\mathbf{s}(\mathbf{t}) = \sum_{-\infty}^{+\infty} \mathbf{a}_{\mathrm{m}} \operatorname{rec}(\mathbf{t} - \mathrm{mT}_{0})$$
(3.76)

where rec(t) is a rectangular pulse of width T_0 and amplitude $A_c/2$, and a_m a sequence of binary bipolar random variables equal to ± 1 and satisfying,

 $P(a_m=+1) = P(a_m=-1) = 1/2 \quad (i.e. \ equiprobable \ events) \quad (3.77)$ The RC low pass filter output signal y(t) is,

$$y(t) = \sum_{-\infty}^{+\infty} a_{m} r(t-mT_{0}) + n_{0}(t)$$
 (3.78)

where $n_0(t)$ is the filter output noise and,

$$r(t) = rec(t)*h(t)$$
 (3.79)

h(t) is the RC filter impulse response given by eq.(A2.4) namely,

$$h(t) = (1/RC) e^{-t/RC} u(t) = (1/T) e^{-t/T} u(t)$$
 (3.80)

The filter input and output signals are sketched in Fig.3.11.

At the sampling instant t_s the filter output is,

$$y(t_s) = a_{N-1} r(t_s) + \sum_{m=0}^{N-2} a_m r(t_s - mT_0) + n_0(t_s)$$
 (3.81)



Fig.3.10 A PCM Receiver Model.



Fig.3.11 An Example Of A PCM Signal And Its Corresponding Filter Output.

The first term of eq.(3.81) is the desired signal while the second and the third are the ISI and white gaussian noise respectively.

The signal r(t) can be written as follows,

$$r(t) = rec(t)*h(t) = \begin{cases} +\infty \\ h(t')rec(t-t')dt' \\ -\infty \end{cases} (3.82)$$

The rectangular pulse rec(t) can be decomposed into two step functions,

$$\operatorname{rec}(t) = (A_{c}/2) u(t) - A_{c} u(t-T_{0})$$
 (3.83)

Since the RC filter is a realistic one, the integration limits are from 0 to ∞ . Substituting eq.(3.84) into eq.(3.83) we get,

$$\mathbf{r(t)} = -\mathbf{A_c}/2 + \mathbf{A_c} \left[1 - (1/2) \ e^{-T_0}/T \right] \ e^{-(t-T_0)}/T$$
(3.84)

The ISI caused by the 1^{st} pulse at the sampling instant t_s is

$$I(t_s) = r(t_s) + A_c/2 = A_c [1 - (1/2) e^{-T_0/T}] e^{-(t_s - T_0)/T} (3.85)$$

The even moments of the total ISI, at the sampling instant t_s , are given by ref.7, these are,

$$M_{2k} = \sum_{i=1}^{k} (-1)^{i+1} {\binom{2k-1}{2i-1}} M_{2(k-i)} \frac{2^{2i} (2^{2i}-1)}{2i} |B_{2i}|$$

$$N_{2} \sum_{i=1}^{N-2} (t_{s}-mT_{0})$$

$$M_{2} = 0 \qquad (3.86)$$

where B_{2i} are the Bernoulli numbers given in ref.8, and

$$\binom{2k-1}{2i-1} = \frac{(2k-1)!}{(2k-2i)!(2i-1)!}$$
(3.87)

Since the a_m are independently distributed random variables with 0 mean,

$$M_{2k+1} = 0$$
 for $k = 0, 1, 2, 3, ..., N$ (3.88)

To find the even moments we should first compute the following summation,

$$S = \sum_{m=0}^{N-2} I^{2i}(t_s - mT_0)$$
(3.89)

Substituting eq.(3.85) into eq.(3.89) we get,

$$S = \sum_{m=0}^{N-2} I^{2i} (t_{s} - mT_{0})$$

$$= A^{2i}c \left[1 - (1/2) e^{-T_0/T}\right]^{2i} \sum_{m=0} e^{-2i[t_s - (m+1)T_0]/T}$$

$$= A^{2i}c \left[1 - (1/2) e^{-T_0/T}\right]^{2i} \left[e^{-2i(t_s - T_0)/T} + e^{-2i(t_s - 2T_0)/T} + \dots + e^{-2i[t_s - (N-1)T_0]/T}\right]$$
(3.90)

N- 2

Substituting t_s by NT $_0$ eq.(3.90) becomes,

$$S = A^{2i}c \left[1 - (1/2) e^{-T_0/T}\right]^{2i} \left[e^{-2iT_0/T} + e^{-4iT_0/T} + e^{-6iT_0/T} + \dots + e^{-2i(N-1)T_0/T}\right]$$
(3.91)

The expression between brakets is a geometric progression of reason $e^{-2iT_0/T}$, therefore, eq.(3.91) can be written as,

$$S = A^{2i}c \left[1 - (1/2) e^{-T_0/T}\right]^{2i} \frac{e^{-2iT_0/T}}{1 - e^{-2iT_0/T}}$$
(3.92)

Substituting eq.(3.92) into eq.(3.86) we find,

$$M_{2k} = \sum_{i=1}^{k} (-1)^{i+1} {\binom{2k-1}{2i-1}} M_{2(k-i)} \frac{2^{2i} (2^{2i}-1)}{2i} |B_{2i}| A^{2i}_{c}$$

$$[1 - (1/2) e^{-T_{0}/T}]^{2i} \frac{e^{-2iT_{0}/T}}{1 - e^{-2iT_{0}/T}}$$
(3.93)

For a number of bits n and a sampling rate f_s , the PCM signal bit rate is,

$$f_0 = nf_S$$
 i.e. $T_0 = T_S/n$ (3.94)

Substituting eqs.(3.94) and (A5.3) into eq.(3.93) we finally get,

$$M_{2k} = \sum_{i=1}^{k} {\binom{2k-1}{2i-1}} (-1)^{i+1} M_{2}(k-i) \frac{2^{2i} (2^{2i}-1)}{2i} |B_{2i}| A^{2i}_{c}$$

$$[1 - (1/2) e^{-\mathfrak{ATB}/nf_{s}}]^{2i} \frac{e^{-4\pi iB/nf_{s}}}{1 - e^{-4\pi iB/nf_{s}}}$$
(3.95)

Knowing that $M_0 = 1$, all the higher order moments can be obtained via eq.(3.95). The probability of error P_e in the presence of both ISI and white gaussian noise is given in ref.7, this is

$$P_{e} = (1/2) \operatorname{erfc}(A_{c}/2\sigma/2) + e^{-(A_{c}/\sigma)^{2}/16} \sum_{j=1}^{+\infty} \frac{M_{2j}}{(2j)!\sigma^{2j}} H_{2j-1}(A_{c}/2\sigma/2)$$
(3.96)

where σ is the white gaussian noise rms and the H_j(x) are the Hermite polynomials
given by,

$$H_{n+1}(x) = x H_n(x) - n H_{n-1}(x)$$

 $H_0(x) = 1$ (3.97)
 $H_1(x) = x$

The first term of eq.(3.96) is the P_e in the absence of ISI. Ref.7 pointed out that only the five first terms of the summation of eq.(3.96) suffice for the computation of P_e .

We let $(A_c/\sigma)^2$ be the peak carrier to noise ratio CNR,

$$(A_c/\sigma)^2 = (S_c/N_c)$$
 (3.98)

Knowing the moments M_{2k} and the Hermite polynomials, PCM output SNR in the presence of ISI can be computed through the following equation.

$$(S_0/N_0) = \frac{2^{2n} - 1}{1 + 4 P_e(2^{2n} - 1)}$$
(3.99)

Eq.(3.99) is plotted in Fig.3.12 for B = 40MHz, $f_s = 13.34MHz$ and n = 4,5, and 6. In the same figure the curves of PCM SNR in the absence of ISI, for n = 5, and PWM SNR, for B = 40MHz, are given as well. These curves show that in the presence of ISI, PCM SNR can be worse than PWM SNR and this for CNR < 20dB.





3.6 CONCLUSION

We showed in this chapter how the channel limitation bandwidth causes pulse distortion. The latter was computed for two types of modulating signals: one deterministic, the other random. For both types of signals, this distortion depended on B and M. In fact, it increases when M increases and decreases with increasing B. To keep the distortion low, we should either decrease M or increase B. Increasing B beyond the affordable value is a waste of bandwidth and makes the constraints on the receiver severe (short T_r). An easy solution seemed to be a choice of a moderate M, but at the expence of a degradation in the output SNR, since the latter is proportional to the square of M. To make a compromising choice of these critical factors (M, γ , B, SNR, D_k), curves taking into account all these parameters are given. Finally, to prove the feasibility of PWM with US systems, its SNR and PCM SNR were compared for a typical value of B. This comparison was found to be in favor of PWM for CNR < 20dB when the number of bits used, n, is less or equal than 5.

4.1 INTRODUCTION

Lightwave systems can be classified in terms of their evolution through four generations of technology. The first of these uses multimode fibers at an operating wavelength of about $0.85\,\mu\text{m}$. The second generation operates at a longer wavelength $1.3\,\mu\text{m}$. The shift to this wavelength permits greater separation between repeaters in a long distance transmission system. Most of the second generation systems use single mode fibers rather than multimode. The evolution beyond the second generation is unclear because the $1.3\,\mu\text{m}$ systems are still relatively new. It seems probable, however, that the third generation will use a still longer wavelength, $1.55\,\mu\text{m}$ where the attenuation of silica based fibers is at a minimum. Further improvement in lightwave systems beyond the third generation might come from the introduction of coherent optical techniques. Tab.4.1 summarises the characteristics of the four mentioned generations.

Generations	First	Sec	ond	Third	Fourth
Fiber type	multimode	few mul.mode	most sing.mode	sing. mode	sing. mode
Operating wavelength(μm)	0.85	1.	3	1.55	1.55
Sources	LEDs	LEDs	InGaAs	Ge LDs	LDs
	AlGaAs LDs		Ge LDs		
Detectors	Si PINs	InGaAs	PINs	InGaAsP	PINs
	Si APDs	Ge	APDs		
Lost(dB/Km)	2.5	0.6	0.4	0.25	<0.2
Displimited bit rate_dist. product (Gbit.Km/s)	0.01(step n)	4	250	17	900
	4(graded n)			300(1)	

Tab.4.1 The four generations of lightwave technology.

(1) For single frequency lasers

4.2 FIBER STRUCTURE

An optical fiber is a cylindrical dielectric that operates at optical wavelengths. It consists of a core of refractive index n_1 covered by a thin cladding of a lower refractive index n_2 covered by a buffer coatting, see Fig.4.1.

Both the step index and graded index fibers can be further divided into single mode and multimode. Only three of them are of major importance: single mode step index, multimode step index, and multimode graded index. As the name implies a single mode fiber supports only one waveguide mode, whereas a multimode fiber supports hundreds of modes. For optical fibers there are three types of ray paths: central, meridional, and helical rays. Meridional rays pass through the center of the fiber undergoing total internal reflections and are confined to a single plane. For graded index fibers the rays follow smooth curves rather than the zig zag of the step index fibers. Skew rays are not confined to a single plane, instead, they tend to follow a helical path along the fiber. These rays travel longer distances than meridional rays but faster. Fig.4.3 shows typical sizes of single mode and multimode fibers along with the mentioned ray paths.

4.2.1 Fiber types

If the refractive index is uniform throughout the core and undergoes an abrupt change (step) at the cladding boundary, the fiber is called step index fiber. However, if the core refractive index is made to vary as a function of the radial distance from the center of the fiber, the latter is called graded index fiber. Both fibers types are illustrated in Fig.4.2.

4.2.2 Snell's law

To have total internal reflection the angle of incidence must be greater than the critical angle θ_c , this is known as Snell's law. An illustration of this is given in Fig.4.4. The critical angle is,

$$\theta_{\rm c} = \sin^{-1}(n_2/n_1) \tag{4.1}$$



Fig.4.1 Schematic Of The Fiber Structure.







Fig.4.3 Single Mode And Multimode Fibers Cross Sections And Ray Paths.

The angle γ that the ray in the external meduim makes with the normal to the end of the fiber is related to the internal angle θ by Snell's law,

$$\sin\gamma = (n_1/n_0) \cos\theta \tag{4.2}$$

Fig.4.5 gives an illustration of a meridional ray as it enters a step index fiber. The maximum value that γ can take is determined by the minimum value of θ which is $\theta_{\rm C}$. This maximum angle is known as the fiber acceptance angle.

$$\sin\gamma_{\text{max}} = (n_1 \sqrt{2\Delta})/n_0 = NA/n_0 \tag{4.3}$$

where NA is known as the fiber numerical aperture and Δ the index difference given by,

$$\Delta = (n_1 - n_2)/n_1 \tag{4.4}$$

The cladding refractive index n_2 in terms of Δ is,

$$\mathbf{n}_2 = \mathbf{n}_1 (1 - \Delta) \tag{4.5}$$

4.3 FIBER DISPERSION

Fiber dispersion causes the transmitted pulses to spread out and to overlap as they propagate, so they become indistinct at the receiver. As the bit rate increases, dispersive effects become more important. Dispersion manifests itself as a reduction in the high frequency of the transfer function of the fiber. An equaliser can be used, at the receiver, to boost the attenuated high frequency components. Along with the signal enhancement, however, comes an unavoidable increase in noise. By increasing the transmitter power we can compensate for the enhanced noise. This added transmitter power is called the dispersion penalty. To have a dispersion penalty of less than 1dB, the following condition should be satisfied,

$$\sigma_{\mathsf{F}} \mathbf{B} \leqslant 1/4 \tag{4.6}$$

where σ_t is the fiber impulse response rms width and B the bit rate. σ_t is expected to increase with the fiber length. The maximum fiber length that satisfies eq.(4.6) is called the dispersion_limited transmission distance. There are two types of dispersion: intramodal and intermodal.



Fig.4.4 Illustration Of The Behavior Of A Light Ray Incident On The Junction Between Two Media With Refractive Indices n_1 And n_2 .



Fig.4.5 Illustration Of The Path Of A Meridional Ray As It Enters A Circular Step Index Waveguide.

It manifests itself as pulse spreading within a single mode. It is a result of the group velocity being a function of the wavelength. Hence, it increases with the spectral width σ_{λ} of the source.

The two main causes of intramodal dispersion are material and waveguide dispersions.

4.3.1.1 Material dispersion

It occurs because the refractive index is a function of wavelength λ , hence, the various spectral components of the source will travel at different speeds. This dispersion is of importance in light emitting diodes (LEDs) systems (because LEDs have important spectral widths). After a pulse travel L Km through a fiber, it broadens to an rms width,

$$\sigma_{t} = D L \sigma_{\lambda} \tag{4.7}$$

where D is the material dispersion coefficient that can be determined, for silica_based fibers $(Si0_2)$, from Fig.4.6.

We should point out that material dispersion dominates at lower wavelengths and goes to zero at about $1.3 \mu m$ for silica_based fibers. This kind of dispersion can be reduced by either choosing sources with narrow spectral widths or by operating at higher wavelengths.

Substituting eq.(4.7) into eq.(4.6) we get the dispersion_limited bit rate_distance product,

 $B L \leq (4D \sigma_{\lambda})^{-1}$ (4.8)

4.3.1.2 Waveguide dispersion

It occurs because the modal propagation constant β is a function of a/λ which is the ratio of the fiber core radius to the wavelength. For multimode fibers, waveguide dispersion is very small compared to material dispersion and can, therefore, be neglected. For single mode fibers, however, waveguide dispersion is of importance. Inspection of Fig.4.6 shows that at about $1.3 \mu m$ the material dispersion



Fig.4.6 Material Dispersion For Pure Silica(SiO2).

coefficient D becomes zero, and above this value it has the opposite sign to the waveguide dispersion. It is, therefore, possible to obtain zero total dispersion in the region 1.3 to $1.8\,\mu\text{m}$ for specially designed single mode fibers. This region is also of interest since it is a low attenuation region.

4.3.2 Intermodal dispersion

It is a result of different values of the group delay for each mode. The high order modes have a longer travel time than the low order modes, therefore, simultaneously launched rays will suffer dispersion on arrival. The steeper the angle of propagation of the ray, the higher is the mode and, therefore, the slower is the axial group velocity.

The rms full width of the broadened impulse due to the difference of time taken for the slowest and fastest modes to travel a distance L along a step index fiber is,

$$2\sigma_{t} = (Ln_{1}\Delta)/C = L(NA)^{2}/(2Cn_{1})$$
(4.9)

Substituting eq.(4.6) into eq.(4.9) we get the dispersion_limited bit rate_distance product,

$$B L \leq C/(2\Delta n_1) \tag{4.10}$$

Modal dispersion can be reduced in step index fibers by reducing the NA to allow only the dominant mode to propagate. Orders of magnitude reduction in modal dispersion can be obtained by using graded index fibers for which the effect is compensated for by the high order modes travelling further than low order modes but faster. Typically, a parabolic profile is used and rays which stay near the core center travel relatively slowely because they are in the region of high n. On the other hand, rays which make wide excursions toward the cladding traverse longer paths, but they travel faster for they spend more time in lower index material. The variation in the refarctive index, is often expressed in the form,

$$\mathbf{n}(\mathbf{r}) = \begin{cases} \mathbf{n}_{1} [1 - 2\Delta(\mathbf{r}/\mathbf{a})^{\alpha}]^{1/2} & 0 \leqslant \mathbf{r} \leqslant \mathbf{a} \\ \mathbf{n}_{1} (1 - 2\Delta)^{1/2} \simeq \mathbf{n}_{1} (1 - \Delta) & \mathbf{r} \geqslant \mathbf{a} \end{cases}$$
(4.11)

The parameter α called the profile parameter determines the core index variations. Fig.4.7 shows the variation in modal dispersion in graded index fibers in terms of α .



Profile Parameter α

Fig.4.7 Intermodal Dispersion In A Graded Index Fiber As A Function Of The Profile Index α .

This curve shows a very sharp minimum dispersion at a profile $\alpha \approx 2$. The graded index fibers show a pulse spreading quadratic in Δ rather than the linear behaviour shown in eq.(4.9) for step index fibers.

$$\sigma_{\rm t} = n_1 L \Delta^2 / (8C) \tag{4.12}$$

Substituting eq.(4.7) into eq.(4.12) we get the dispersion_limited bit rate_distance product,

$$\mathbf{B} \ \mathbf{L} \leqslant 2\mathbf{C}/(\mathbf{n}_1 \Delta^2) \tag{4.13}$$

4.4 FIBER LOSSES

The knowledge of the fiber attenuation enables the determination of the maximum transmission distance. The power level at a distance L from the transmitter is,

$$P_r(L) = P_t \ 10^{-(AL/10)} \tag{4.14}$$

where A is the fiber attenuation given in dB.

There are two categories of fiber losses: those resulting from the distortion of the fiber from the ideal straight line configuration, radiative losses, and those which are inherent in the fiber itself, scattering and absorption losses.

4.4.1 Radiative losses

They occur whenever a fiber undergoes a bend of finite radius of curvature. Fibers can be subject to bends having radii larger than the fiber radius, for example, when a fiber turns a corner, and to microbends of the fiber axis that can arise when the fibers are incorporated into cables, this kind of loss is called microbending loss. The microbendings are to be avoided because as the radius of curvature decreases the loss increases exponentially. This loss can be represented by an absoption coefficient α_b given by,

$$\alpha_{\rm b} = K e^{-R_{\rm c}(\rm NA)^2/a}$$
 (4.15)

where K is a constant, R_c the radius of curvature of the fiber bend see Fig.4.8.

4.4.2 Scattering losses

They occur from the structural or compositional disorder of glasses. In structural disorder the same basic molecular units are present throughout the material but these are connected togather in a random way. In compositional disorder, on the other hand, the exact chemical composition varies from place to place. Whichever of these types of disorder is present the net effect is a fluctuation in the refractive index occuring within the glass over distances small compared to the wavelength λ . This type of scattering is known as Rayleigh scattering, the loss associated with this scattering is,

$$A = 0.6/\lambda^4 dB/Km$$
 (4.16)

where λ is the free space wavelength in μ m.

4.4.3 Absorption losses

They are caused mainly by the presence of impurties particularly traces of transition metal ions (Fe³⁺, Cu²⁺) or hydroxyl (_OH) ions. The latter have strong absorption peaks at 0.95, 1.24, and $1.39 \mu m$. A plot of attenuation versus λ for a silica_based fiber is given in Fig.4.9.

4.5 OPTICAL SOURCES

There are two groups of light sources for optical communication:

- AlGaAs devices for the 800 - 900nm wavelength region.

- InGaAsP devices for the 1100 - 1600nm wavelength region.

The use of a specific light source is dictated by the type of application needed. LEDs present broad light spectra which set a limit to the bit rate because of the modal dispersion and wide beam divergence which causes a poor power coupling to the fiber. However, they have better drive current _ output power linearity, smaller temperature dependence of the emitted power, they are inexpensive and easy to drive than laser diodes (LDs). The latter have much higher output power and narrower beam divergence which enable them to couple more power into the fiber than the LEDs. They are specially useful for small core diameter, and low NA







Fig.4.9 Typical Attenuation Versus Wavelength Plot For A Silica-Based Fiber.

fibers, in particular single mode fibers. Lasers modulation bandwidths are significantly larger than those of LEDs because the carriers recombination times are shortened appreciably by the action of stimulated emission. However, they are expensive and require thigh temperature control.

Light

4.5.1 LEDs response time

The factors that ultimately affect the modulation bandwidths of LEDs are the junction capacitance and the carriers' life time in the recombination region. Ref.11 shows that the LED frequency response can be written, in the case where the carrier life time is the dominating effect, as

$$R(f) = \frac{R(0)}{\sqrt{1 + 4\pi^2 f^2 \tau^2}}$$
(4.17)

where τ is the minority carrier life time.

From eq.(4.17), the half power bandwidth is,

$$B_{3dB} = \sqrt{3}/(2\pi\tau)$$
(4.18)

In GaAs LEDs a typical value of τ would be 1ns, giving a half power bandwidth $B_{adB} = 300$ MHz.

In many sub 50Mbit/s applications LEDs are prefered to LDs. This tendancy is even stronger for the 1100 - 1600 nm LEDs wavelength region where silica based fibers suffer least attenuation and glass dispersion can approach zero near 1300nm. There are two types of LEDs: surface emitter and edge emitter.

4.5.2 Surface emitter LEDs

In the surface emitters a well is etched through the GaAs substrate to enable the fiber end to be very close to the light emitting region, to couple as much power as possible to the fiber end. The fiber is held in position by the use of a transparent epoxy resin which also helps to reduce Fresnel losses.



Active Region

(a)



(b)

Fig.4.10 Schematic Of (a) A Surface Emitter And (b) An Edge Emitter LED s.

4.5.3 Edge emitter LEDs

In the edge emitter the radiation is confined to a narrow channel. On enumerging, the beam divergences tend to be narrower than those of the surface types. Thus for very thin active layers the emitted radiation half power beam width in the plane perpendicular to the junction is reduced to $\theta_{\perp} = 30^{\circ}$. Total optical power from edge emitters is several times smaller than from surface emitters, but the narrower beam divergence can give rise to more coupled power. For fibers with small NA (<0.2) edge emitters are suitable, whereas for fibers with large NA, surface emitters are prefered. Fig.4.10 gives an illustration of the two types of LEDs.

4.6 PHOTODETECTORS

The two principal famillies of photodetectors are the PIN and the avalanche photodiodes (APD). The latter increase the sensitivity by internally multiplying the primary photocurrent before encountring the amplifier noise. The only desadvantage of the APDs is their high operating voltage (up to 400v).

The main photodetectors materials used are:

- Si photodetectors in the 800 – 900nm wavelength region.

- InGaAs and Ge photodetectors in the 1100 - 1600nm wavelength region.

4.6.1 Photodetectors response time

It depends mainly on three factors:

- The transit time of the photocarriers in the depletion region.

- The diffusion time of the photocurrent generated outside the depletion region.

- The RC time constant of the photodiode and its associated circuit.

The simplest model of a photodetector receiver along with its equivalent circuit are given in Fig.4.11. It consists of a photodiode having a series resistor R_s , a total capacitance C_d consisting of a junction and packaging capacitances, a load resistor R_i converting the photocurrent to a voltage and an amplifier having an input resistor R_a and a capacitance C_a .



(a)



(b)



The limiting factor of the response time of this circuit is C_d . In general the photodetector receiver behaves like an RC low pass filter with a 3dB bandwidth given by,

$$B_{3dB} = 1/(2\pi R_{T}C_{T})$$
(4.19)

where $R_T = R_a \parallel R_l$ (neglecting R_s) and $C_T = C_a + C_d$.

A fast response time can be obtained by decreasing R_l , but this sacrifies the SNR since a resistor's thermal noise current increases as the value of the load resistor decreases.

4.6.2 Photodetectors quantum efficiency

The photodetector quantum efficiency η is defined as,

$$\eta = \frac{\text{number of electrons generated}}{\text{number of photons received}} = \frac{I_p/q}{P_0/h_{\mu}}$$
(4.20)

where I_p is the primary average photocurrent generated by an average optical power P_q , q the electron charge and h_{ν} the photon energy.

The ratio I_p/P_0 is known as the responsitivity R,

$$R - I_{p}/P_{0} = \eta q/(h\nu)$$
(4.21)

R specifies the photocurrent generated per unit optical power.

4.6.3 Noises currents

The main noises currents of a photodiode are:

-Shot or quantum noise, arising from the statistical nature of photon $_$ electron conversion. The mean square (ms) of this current is,

$$\langle i_{a}^{2} \rangle = 2qI_{p}G^{2}F(G)B = 2qRP_{0}G^{2}F(G)B$$
 (4.22)

where F(G) is a noise figure associated with the random nature of the avalanche proces. From experimental results this figure is,

$$\mathbf{F}(\mathbf{G}) = \mathbf{G}^{\mathbf{X}} \tag{4.23}$$

where x ($0 \le x \le 1$) depends on the material used.

- Dark current that continues to flow when no light falls on the photodiode. The ms of this current is,

$$\langle i^{2}d \rangle = 2qI_{d}G^{2}F(G)B \qquad (4.24)$$

- Surface leakage current which depends on the surface defects, cleanliness, bias voltage and surface areas. The ms of this current is,

$$\langle i^2_l \rangle = 2qI_l B \tag{4.25}$$

The other most important noise source is the thermal noise current generated in the photodiode load resistor R_l and the amplifier input resistor R_a . The thermal noise current ms is,

$$\langle i^{2}_{th} \rangle = 4K_{B}TBF_{t}/R_{eq}$$
(4.26)

where K_B is Boltzmann's constant, T is the absolute temperature (°K), F_t is a noise figure of the amplifier and $R_{eq} = R_l \parallel R_a$ (R_s being neglected).

Since all these noises are uncorrelated, the ms of the total noise is,

$$\langle i^{2}_{n} \rangle = \langle i^{2}_{q} \rangle + \langle i^{2}_{d} \rangle + \langle i^{2}_{l} \rangle + \langle i^{2}_{th} \rangle$$

= 2qRP₀G²F(G)B + 2qI_dG²F(G)B +
2qI_lB + 4K_BTBF_t/R_{eq} (4.27)

 $\langle i^{2}_{th} \rangle$ can be reduced by increasing R_{l} , however, any increase in R_{l} causes a decrease in the receiver bandwidth. For PIN photodiodes F(G) and G are set to unity.

4.7 POWER COUPLED FROM AN LED INTO A FIBER

The LEDs emitting areas are larger than the fiber core area. As a result a large coupling loss is incurred which, when added to the losses resulting from NA, make the total loss considerable.

4.7.1 Power coupled into a step index fiber

The power coupled from an LED into a step index fiber, when the fiber core radius is less than the LED emitting area radius r_s , is given in ref.12. This is,

$$P_{step} = R(\pi a NA)^2$$
(4.28)

where R is the LED radiance which is the optical power radiated into a solid angle per unit emitting area. It gives the spatial distribution of the optical power.

For Lambertian emission, and if we suppose that the emitting area is circular, the radiance R is given by,

$$R = P_{\text{LED}} / (\pi A_{\text{s}}) = P_{\text{LED}} / (\pi^2 r^2_{\text{s}})$$
(4.29)

Substituting eq.(4.29) into eq.(4.28) we get the equation giving the power coupled into a step index fiber,

$$P_{step} = P_{LED} (aNA/r_s)^2$$
(4.30)

4.7.2 Power coupled into a graded index fiber

The power coupled from an LED into a graded index fiber is,

$$P_{\text{grad}} = 2\pi^2 \text{Rn}_1^2 a^2 \Delta \left[1 - 2/(\alpha + 2) \right]$$
(4.31)

For a parabolic profile (i.e. $\alpha = 2$) and substituting eq.(4.29) into eq.(4.31) we get the equation giving the power coupled into a graded index fiber,

$$P_{grad} = R(\pi a NA)^2/2 = P_{LED} (a NA/r_s)^2/2$$
 (4.32)

We notice that for $\alpha = 2$ and the same core diameter the power coupled into a step index fiber is twice that coupled into a graded index fiber.

4.7.3 Power lost due to NA

The true amount of power coupled into a fiber depends on its NA. Since the fiber accepts only those rays contained whithin a cone whose maximum angle is determined by the critical angle θ_c , an additional coupling loss will result if the angular emission cone of the source exceeds that defined by the NA of the fiber. This additional coupling loss is very often given in the LEDs used data sheets. Fig.4.12 gives an illustration of the power lost due to NA.



Fig.4.12 Schematic Diagram Of An Optical Source Coupled To An Optical Fiber.

5.1 INTRODUCTION

In this chapter we will investigate the performance of the whole PWM system. The choice of a light source, a fiber, a photodetector, the power budget, taking into account the various losses, the dependence of the system SNR on the received optical power and the rise time budget will all be discussed.

5.2 CHOICE OF THE OPTICAL SYSTEM

The optical system comprises a light source, a fiber and a photodetector. As we saw in chapter four, there are two types of light sources, fibers and photodetectors. The choice of the optical system is carried out in what follows.

5.2.1 Choice of a light source

The choice of a particular light source for a particular application determines first the electronic drive circuit needed to amplify the input signal to the required level to drive the light source and second the optical signal power available from the source for coupling to the fiber.

Since the main criterion of the choice of a system is cost and since the operating bandwidth is low (< 14MHz) an edge emitter LED operating in the 800 - 900nm wavelength region will be suitable. The choice of an edge emitter LED is strongly recommanded for low NA fibers (because of its power coupling efficiency).

5.2.2 Choice of a fiber

As far as fibers are concerned, multimode step index fibers are relatively inexpensive, have large NA but suffer from modal dispersion. Graded index fibers show reduced modal dispersion but have relatively small NA, therefore, the power coupled into them is half that coupled into step index fibers. Single mode fibers are subject only to material dispersion but because of their small core diameter, only lasers can be used to couple significant amount of power into them.

To use an LED $_$ single mode fiber combination, we should either operate at longer wavelengths, where attenuation is at a minimum, or shorten the transmission distance. However, since the optoelectronics devices in the 1100 - 1600nm region are expensive and since any communication link for trunk routing of information involves long transmission distances, an LED - single mode fiber combination is to be avoided. A suitable combination would be an LED - multimode graded index fiber.

5.2.3 Choice of a photodetector

The choice of a photodetector depends mainly on the received optical power. For a large received optical power, an APD serves no advantage since the detector noise increases more rapidly with increasing gain G than the optical signal. Therefore, APDs can be used in applications where the received optical power is low and PIN photodiodes in applications where the received optical power is large. We assume that the received optical power is large enough so that we can use a PIN photodiode as a photodetector.

5.3 SCHEMATIC OF THE OPTICAL PWM SYSTEM

Thus, the optical system consists of an LED, a multimode graded index fiber and a PIN photodiode. The schematic of the whole optical PWM system is given in Fig.5.1.

5.4 LINK LOSSES

The optical power received by the photodetector depends on the amount of power coupled into the fiber and the losses occuring in the fiber, at the connectors and splices. Fig.5.2 gives an illustration of the various losses occuring in an optical link.

If we assume that only one splice is used, the total link loss is then,

$$l = 2l_{c} + Ll_{f} + l_{s} + l_{sf} + l_{NA}$$
(5.1)

where l_c , l_f , l_s , l_{sf} , l_{NA} are the connector, fiber, splice, source to fiber and NA



Fig.5.1 Schematic Of The Optical PWM System.



Fig.5.2 The Various Losses Occuring In An Optical Link.

losses respectively and L the fiber length.

In general, the connector and splice losses are equal to 0.5dB each.

The power P_0 at the photodetector flylead, in terms of the LED output power P_e , is

$$P_0(dB) = P_e(dB) - (Ll_f + l_{sf} + l_{NA} + 1.5)dB$$
(5.2)

5.5 POWER BUDGET

We assume a multimode graded index fiber with parabolic profile $\alpha = 2$, NA = 0.2, a core diameter 2a = 50 μ m and an attenuation A = 2.8 dB/Km (at 850nm), an LED with an emitted power P_e into an aperture of diameter 100 μ m, we further assume that the loss due to the NA of the fiber is $l_{NA} = 0.3$ dB. The power available at the PIN photodiode is computed through eqs.(4.35) and (5.2). The power values at the fiber output are given, for various transmission distances, in Tab.5.1. A plot of P₀ in terms of P_e is given in Fig.5.3.

	L = 0.5Km	L = 1Km	L = 1.5Km
P _e (mW)	P ₀ (dBm)	P ₀ (dBm)	P ₀ (dBm)
0.25	-32.2	-33.6	-35.0
0.5	-29.2	-30.6	-32.0
0.75	-27.4	-28.8	-30.2
1.0	-26.2	-27.6	-29.0
1.25	-25.2	-26.6	-28.0
1.5	-24.4	-25.8	-27.2
1.75	-23.8	-25.2	-27.2
2.0	-23.8	-25.2	-26.6
2.25	-22.7	-24.1	-25.5

Tab.5.1 Fiber output power values for various transmission distances.





5.6 OPTICAL PWM SYSTEM SNR

In chapter three, we derived the PWM SNR for a white gaussian noise, but we did not precise the sources of this noise. In what follows, we will derive this SNR taking into account the various noises associated with the optical transmitter and receiver.

5.6.1 Optical signal generated current ms

We assume a rectangular power pulse $p_s(t)$ of amplitude P_{max} , falling on the PIN photodiode of responsitivity R, a cosinusoidal modulating signal of amplitude A and a peak positive modulation, that is

$$\mathbf{s}(\mathbf{k}\mathbf{T}_{\mathbf{s}}) = \mathbf{A} = \mathbf{M}\mathbf{T}_{\mathbf{s}}/2 \tag{5.3}$$

The optical signal average power is,

$$P_{0} = \langle p_{s}(t) \rangle = (1/T_{s}) \int_{0}^{T_{s}/2 + s(kT_{s})} P_{max} dt$$
$$- (P_{max}/T_{s})(T_{s}/2 + MT_{s}/2) - P_{max} (1+M)/2$$
(5.4)

The generated photocurrent is,

$$i_{s}(t) = R p_{s}(t)$$
 (5.5)

The ms value of $i_s(t)$ is,

$$\langle i^{2}(t) \rangle = R^{2} \langle p^{2}_{s}(t) \rangle = (R^{2}/T_{s}) \int_{0}^{T_{s}/2 + M.T_{s}/2} P^{2}_{max} dt$$

= $R^{2}P^{2}_{max}(1+M)/2$ (5.6)

Substituting eq.(5.4) into eq.(5.6) we get the ms value of the signal current,

$$\langle i^{2}_{s}(t) \rangle = 2R^{2}P^{2}_{0}/(1+M)$$
 (5.7)

5.6.2 Total noise current ms

We gave in chapter four the different noises currents associated with the optical receiver, but we did not give the noise current associated with the LED. The effect of the LED incoherence is to give rise to an additional noise called beat noise whose current ms is,

$$\langle i^{2}_{b}(t) \rangle = 2(RP_{0})^{2}B \left[1 - B/(2W) \right]/(JW)$$
 (5.8)

where W is the LED spectral width and J the number of the spatial modes. The product JW is, in general, very large (10^{15}Hz) rendering thus the beat noise current ms neglegable.

The other noises currents ms are evaluated not at P_0 but rather at P_{max} /2 because the latter is the expected value of the signal when the threshold crossing is to occur. Substituting P_0 by P_{max} /2 and F(G) = G = 1 into eq.(4.27) we get the total noise current ms,

$$\langle i^{2}_{n} \rangle = qRP_{max}B + 2qI_{d}B + 2qI_{l}B + 4K_{B}TF_{t}B/R_{eq}$$
(5.9)

Substituting eq.(5.4) into eq.(5.9) we get,

$$\langle i^2_n \rangle = 2qP_0RB/(1+M) + 2qI_dB + 2qI_lB + 4K_BTF_tB/R_{eq}$$
 (5.10)
Finally, the CNR is,

$$(C/N) = \frac{\langle i^{2} g \rangle}{\langle i^{2} n \rangle}$$

= $\frac{2R^{2}P^{2}_{0}}{2q[RP_{0}/(1+M) + I_{d} + I_{l} + 2K_{B}TF_{t}/(qR_{eq})](1+M)B}$ (5.11)

If we assume that the noise current $i_n(t)$ is a gaussian random process, neglect the leakage current and substitute eq.(5.11) into eq.(3.68) we get the PWM system SNR,

$$(S_{0}/N_{0}) = (\pi^{2}M^{2}/16)(B/f_{s})^{2}(C/N)$$

= $(\pi^{2}M^{2}/16)(B/f_{s})^{2}$
$$\frac{2R^{2}P^{2}_{0}}{2q[RP_{0} + I_{d}(1+M) + 2K_{B}T(1+M)F_{t}/(qR_{eq})]B}$$
(5.12)

Eq.(5.12) is computed in terms of P_0 for various modulation indices M for R = 0.5A/W, $I_d = 2$ nA, $R_{eq}/F_t = 10$ K Ω , $q = 1.6 \times 10^{-19}$ C, $K_B = 1.38 \times 10^{-23}$ J/°K, B = 40MHz and $f_s = 13.34$ MHz. The results are given in Tab.5.2, and a plot of eq.(5.12) is given in Fig.5.4.

	M= 0.4	M=0.6	M=0.8
P ₀ (dBm)	S_0/N_0 (dB)	S_0/N_0 (dB)	S_0/N_0 (dB)
-45	6.8	9.7	11.7
-40	16.8	19.7	21.7
-35	26.7	29.7	31.7
-30	36.5	39.5	41.5
-25	45.9	49.0	51.0
-20	54.5	57.7	59.9
-15	61.8	65.1	67.4
-10	67.8	71.3	73.7

Tab.5.2 PWM optical system SNR values for various M.

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5.7 RISE TIME BUDGET

To be able to find the system total rise time T_r , we assume that the PWM pulses are gaussian, this is not true but gives a good approximate value of T_r . The latter is given in ref.12 by,

$$T_{r} = [T_{t}^{2} + T_{rec}^{2} + T_{mat}^{2} + T_{mod}^{2}]^{1/2}$$
(5.13)

where T_t , T_{rec} are the transmitter and receiver rise times respectively and T_{mat} , T_{mod} the material and modal dispersion related rise times respetively. The fiber bandwidth resulting from modal dispersion is inversely proportional to the

cable length. The empirical relation of this bandwidth is given, in ref.12, by

$$B_{M}(L) = B_{0}/L^{0.7}$$
(5.14)

where B_0 is the bandwidth of a 1_Km fiber length.

To find the relationship between the fiber rise time and its 3dB bandwidth, we assume that the fiber power impulse response is gaussian, this is the case for long fibers.

$$g(t) = \frac{1}{\sigma/2\pi} e^{-t^2/2\sigma^2}$$
(5.15)

where σ is the rms pulse width.

The FT of eq.(5.15) is the transfer function of the fiber,

$$G(f) = e^{-2\pi^2 f^2 \sigma^2}$$
(5.16)

If we define t_{fwhm} as the full width of the fiber impulse response at half maximum value, then

$$t_{\text{fwhm}} = 2(2\text{Ln}2)^{1/2} \sigma = 2.35 \sigma$$
 (5.17)

The 3dB optical bandwidth from eq.(5.16) is,

$$B_{3dB} = 0.188/\sigma$$
 (5.18)

Substituting eq.(5.17) into eq.(5.18), we get the relationship between t_{fwhm} and B_{3dB} ,

$$t_{fwhm} = 0.44/B_{3dB}$$
 (5.19)

Letting t_{fwhm} be the rise time resulting from modal dispersion and substituting eq.(5.14) into eq.(5.19) we get,

$$T_{\rm mod} = 0.44 / B_{\rm M} = 0.44 \ L^{0.7} / B_0 \tag{5.20}$$

The material dispersion related rise time is given in chapter four, this is,

$$T_{mat} = D \sigma_{\lambda} L \tag{5.21}$$

Finally, the system rise time is,

$$T_{r} = \left[T_{t}^{2} + T_{re}^{2} + (0.44 \ L^{0} \cdot 7/B_{0})^{2} + (D \ \sigma_{\lambda}L)^{2}\right]^{1/2}$$
(5.22)

If we assume a fiber with a 3dB bandwidth $B_0 = 430MHz.Km$, $T_t = 10ns$,

 T_{rec} = 12ns and an LED with spectral width σ_{λ} = 35nm (at λ_0 = 820nm) and with material dispersion coefficient D = 0.09ns/nm.Km, then the system rise time for a 1-Km link is T_r = 16.0ns. This value of T_r limits M to 0.57.

5.8 CONCLUSION

Through this chapter, we have motivated the choice of optoelectronic devices for the optical PWM system. Because of cost, we have chosen devices operating in the 800 – 900nm wavelength region where optoelectonic devices are cheaper. The optical system consisted of an LED and its drive circuitery in the transmitter, a multimode graded index fiber, and a PIN photodiode in the receiver. Taking into account all the possible link losses, we found that, for typical devices values, if the LED delivers 1mW optical power into an aperture of $100\,\mu\text{m}$ in diameter, then the PIN received power would be $P_0 = -28\text{dBm}$ for 1-Km link. This would give an SNR, depending on the value of M used, in the range 40 < SNR < 50dB. Finally, based on the assumption that the PWM are gaussian, we found that, for 1-Kmlink, the system rise time is $T_r = 16\text{ns}$. This value of T_r would limit the modulation index M to 0.57.

CONCLUSIONS

The objective of the project was to investigate the feasibility of two PWM systems, PWM with uniform and natural sampling, for low cost transmission via an optical fiber. The latter was chosen as transmission meduim because it is a very wideband meduim, lighter, cheaper to install and free from interference than its co axial equivalent. PWM was chosen as modulation technique because it offers, along with PPM, the ideal blend of analogue and digital modulation and because the transmitter and receiver design would be relatively simple. The transmitted signal is of two discrete states, this means that modulation of semiconductor sources, and demodulation can be achieved easily. It is true that PFM presents better SNR performance than PPM and PWM do, but the SNR is not the only consideration in choosing one or the other scheme. The question of multiplexing must be taken into account. PPM and PWM lend themselves easily to time multiplexing, whereas PFM does not. Frequency multiplexing can be used in PFM systems but at the expence of high constraint on the sampling rates and modulation indices. PWM was prefered over PPM because it is easier to demodulate PWM signals than PPM ones. The latter being synchronous require a clocking stage for demodulation.

The electronic aspect of the two PWM systems was examined in chapter two. We saw how easily modulation and demodulation can be achieved. It was shown that PWM with natural sampling (NS) demodulator consisted of only a low pass filter. The simplicity of this demodulator was at the expense of a limitation on the modulation index (M < 0.1), since for high M the signal to interference ratio (SIR) is smaller than the quoted value (i.e. 36dB). The desadvantage of having low M is a degradation in the output signal to noise ratio (SNR) since the latter is proportional

to the square of M. A relaxation of the constraint on M can be obtained by increasing the sampling rate f_s . We showed that for $f_s > 28MHz$ the permissible M could be as high as 0.7. However, such sampling rates would require, in turn, the use of very fast components to obtain pulses with short rise times. For a sampling rate $f_s = 28MHz$, the usable time slot is 35ns. If the permissible modulation index is 0.7, then 25ns are used for modulation and 10ns for rise and fall times, this corresponds to a bandwidth B = 100MHz. We should point out that components that allow 5ns rise time are very expensive and their use defeats one of the main choice criterion which is cost. PWM with uniform sampling (US) seemed attractive because it allows the use of modulation indices as high as 1.0 for frequencies covering the whole video band (up to 5.5MHz). The only limitation on M comes from the circuitery used. As far as the bandwidth required for the transmission of PWM signals is concerned, this was found to be equal to half the reciprocal of the allowable rise time. We concluded the chapter by comparing PCM and PWM SNRs in the absence of intersymbol interference (ISI), that is in the case where the receiver bandwidth is $n \times f_s$ (for an n_bit transmission). This comparison was obviously in favor of PCM even for a 4_bit transmission.

The distortion due to the receiver bandwidth limitation was investigated in chapter three for two kinds of modulating signals, one deterministic, the other random. For both types of signals, the distortion depended on M and B. In fact it increases with increasing M and decreases with increasing receiver bandwidth B. To keep it low we should either increase B or decrease M. Increasing B is a waste of bandwidth and makes the constraint on the receiver more severe (short rise times). Decreasing M sacrifices the output SNR since the latter is proportional to the square of M. To make a compromising choice of parameters (M, γ , B, SNR, D_k), curves taking into account all these parameters were given. For instance for M = 0.6 and B = 40MHz the distortion was found to be equal to -40dB which is an acceptable level. For this same receiver bandwidth, PWM and PCM SNRs were compared in
the presence of ISI, that is in the case where B is not equal to $n \times f_s$ (for an n-bit transmission) but equal to 40MHZ. For the computation of PCM SNR, we used a method giving the probability of error (P_e) in terms of the first 2k moments of the ISI. It was shown that PWM with US presented better performance in SNR than PCM does and this for CNRs < 20dB and a number of bits less or equal than 5 ($n \leq 5$).

Chapter five was devoted to the investigation of the optical PWM system. As far as the latter is concerned, devices operating in the 800 - 900nm wavelength region, where optoelectronic devices are cheaper, were used. The optical system consisted of an edge emitter LED and its drive circuitery in the transmitter, a multimode graded index fiber, and a PIN photodiode in the receiver. Having made use of typical devices data, we showed that if the LED delivers 1mW power into an aperture of $100 \mu m$ in diameter, then the PIN photodiode received power would be -28dBm $(1.6 \mu W)$ for a 1-Km transmission distance. This would give an SNR in the range 40 to 50dB.

Finally, having assumed that the PWM pulses are gaussian in shape, and for transmitter and receiver allowing 10 and 12ns rise times respectively, we showed that the system T_r was approximately 16ns, and this would limit the modulation index M to 0.57.

The aim of this project was to prove, theoretically, two PWM systems experimental results found in ref.14. The theoretical results found in this project are extremely close to the experimental ones, and thus the systems have been proven to perform in the manner predicted by experimental work.

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APPENDICES

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APPENDIX A1

BANDWIDTH REQUIREMENT

To determine the necessary bandwidth for pulse systems, we assume that the transmission meduim is an ideal low pass filter of bandwidth B to which a rectangular pulse of width τ is injected.

The filter output is given in ref.3, to be

$$g(t) = (1/\pi) \operatorname{Si}[2\pi B(t+\tau/2)] - (1/\pi) \operatorname{Si}[2\pi B(t-\tau/2)]$$
(A1.1)

where,

$$Si(x) = \int_{0}^{x} \frac{sint}{t} dt$$
 (A1.2)

A sketch of g(t) is given in Fig.A1.1 for different values of B. These curves bear out the following filter bandwidth – pulse width relationships: - If $B << 1/\tau$, the filter output is a grossly distorted version of the input. - If $B = 1/\tau$, the output is a recognisable pulse, roughly τ seconds in width. - If $B >> 1/\tau$, the output resembles the input and has roughly the same width. If we define the rise time T_r as the time taken by a pulse to rise from 0 to its maximum (1.09), then

$$B = 0.8/T_r$$
 (A1.3)

If T_r is defined as the time taken by a pulse to rise from 0.1 to 0.9 of its peak amplitude, then

$$B = 0.5/T_r$$
 (A1.4)

To summarise, if the object is merely to produce an output pulse which has about the same width as the input pulse, with fidelity unimportant, as is the case for PAM systems, then the required filter B is approximately the reciprocal of the pulse width,

$$\mathbf{B} = 1/\tau \tag{A1.5}$$

If fidelity is required, B must be at least several times the reciprocal of τ , and is actually determined by rise time consideration through eqs.(A1.3) or (A1.4), depending on the adopted T_r definition. This is the case for PWM and PPM systems where the instants of arrival of each pulse must be known exactly.

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Fig.A1.1 Response Of An Ideal Low Pass Filter To A Rectangular Pulse.

We seek to determine the RC filter output when a rectangular pulse is injected to its input, see Fig.A2.1. The input signal can be decomposed into the difference of two step functions:

$$v_i(t) = A u(t) - A u(t-T_0)$$
 (A2.1)

where,

$$u(t) = \begin{cases} 1 & t > 0 \\ 0 & t < 0 \end{cases}$$
 (A2.2)

The time response of the RC filter to the input pulse $v_i(t)$ is,

$$v_0(t) = v_i(t) * h(t) = \int_{-\infty}^{+\infty} h(t') v_i(t-t') dt'$$
 (A2.3)

where h(t) is the RC filter impulse response given by,

 $h(t) = (1/RC) e^{-t/RC} u(t)$ (A2.4)

Let T be the time constant RC,

$$T = RC$$
(A2.5)

Since the RC filter is a causal filter, the integration is only from 0 to $+\infty$, and eq.(A2.3) becomes,

$$v_{0}(t) = (A/T) \left[\int_{0}^{+\infty} e^{-t'/T} u(t-t')dt' - \int_{0}^{+\infty} e^{-t'/T} u(t-t'-T_{0})dt' \right]$$
$$= (A/T) \left[\int_{0}^{t} e^{-t'/T} dt' - \int_{0}^{t-T} e^{-t'/T_{0}} dt' \right] \qquad (A2.6)$$

To solve eq.(A2.6) we envisage two cases:

- If $0 < t < T_0$, the second term of eq.(A2.6) desappears because the limits of integration are negative, and we get what we call the charging of C. - If $T_0 < t < \infty$, the limits of integration of both terms are positive and we get the discharging of C.

$$v_{0}(t) = \begin{cases} A (1-e^{-t/T}) & 0 < t < T_{0} \\ A (1-e^{-T_{0}/T}) e^{-(t-T_{0})/T} & T_{0} < t < +\infty \end{cases}$$
(A2.7)





Fig.A2.1 RC Low Pass Filter Input And Output Pulses.

Suppose that a function g(t) has a simple, single zero at $t = t_0$,

$$g(t_{0}) = \begin{cases} 0 & g(t)/(t-t_{0}) \neq 0 \\ \\ \neq 0 & t \neq t_{0} \end{cases}$$
(A3.1)

Hence,

$$\delta[g(t)] = \delta(t-t_0)/|g'(t)| = \delta(t-t_0)/|g'(t_0)|$$
(A3.2)

In the special case of the function,

$$g(t) = t - kT_s - s(t)$$
 (A3.3)

its zeros are,

$$t_{k} = kT_{s} + s(t) \tag{A3.4}$$

Using the property given by eq.(A3.2) for g(t), we have,

$$\delta[\mathbf{g}(\mathbf{t})] = \delta[\mathbf{t} - \mathbf{k}\mathbf{T}_{\mathbf{s}} - \mathbf{s}(\mathbf{t})] = \delta(\mathbf{t} - \mathbf{t}_{\mathbf{k}}) / |\mathbf{g}'(\mathbf{t})|$$
(A3.5)

Taking the derivative of g(t) and substituting it into eq.(A3.5) we get,

$$\delta(t-t_k) = |1-s'(t)| \ \delta[t-kT_s-s(t)]$$
(A3.6)

If s'(t) < 1, then eq.(A3.6) becomes,

$$\delta(t-t_k) = [1-s'(t)] \delta[t-kT_s-s(t)]$$
(A3.7)

A4.1 FT OF AN IMPULSE TRAIN

Let $c_{\delta}(t)$ be an impulse train of period T_s , as shown in Fig.A4.1,

$$c_{\delta}(t) = \sum_{-\infty}^{+\infty} \delta(t - kT_{s})$$
(A4.1)

 $c_{\delta}(t)$ is periodic, it can therefore, be expanded into a Fourier series,

$$c_{\delta}(t) = \sum_{-\infty}^{+\infty} \delta(t - kT_s) = \sum_{-\infty}^{+\infty} C_n e^{2\pi j n f_s t}$$
(A4.2)

where the Fourier coefficients C_n are given by,

Substituting eq.(A4.3) into eq.(A4.2) we get,

$$c_{\delta}(t) = (1/T_s) \sum_{-\infty}^{+\infty} e^{2\pi j n f_s t}$$
 (A4.4)

The FT of eq.(A4.4) is,

$$c_{\delta}(t) = (1/T_{s}) \int_{-\infty}^{+\infty} \sum_{-\infty}^{+\infty} e^{2\pi j} nf_{s}t e^{-2\pi j} ft dt$$
$$= (1/T_{s}) \sum_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} e^{-2\pi j} (f - nf_{s})t dt = (1/T_{s}) \sum_{-\infty}^{+\infty} \delta(f - nf_{s}) (A4.5)$$

A4.2 FT OF A REGTANGULAR PULSE

Let f(t) be a rectangular pulse, τ second wide and of amplitude 1. Its FT is,

$$F(f) = \int_{-\infty}^{+\infty} f(t) e^{-2\pi j f t} dt = \tau \sin(\pi f \tau) / (\pi f \tau)$$
(A4.6)

A sketch of f(t) and its FT is given in Fig.A4.2.



Fig.A4.1 (a) An Impulse Train And (b) Its Fourier Transform.



(a)



(b)

Fig.A4.2 (a) A Rectangular Pulse And (b) Its Fourier Transform.

The transfer function of the RC filter is,

$$H(f) = \frac{1}{1 + j2\pi RCf}$$
 (A5.1)

The 3dB bandwidth is the frequency at which the maximum amplitude of H(f) falls by 3dB (/2),

$$|H(f)| = |H(f)|_{max}/\sqrt{2} = H(0)/\sqrt{2} = 1/\sqrt{2}$$
 (A5.2)

Taking the modulus of H(f) we get,

$$|H(f)| = \frac{1}{\sqrt{1 + (2\pi RCB_{3dB})^2}} = 1/J2$$
(A5.3)

Therefore,

$$B_{3dB} = 1/(2\pi RC) = 1/(2\pi T)$$
(A5.3)

APPENDIX A6 SIGNAL AVERAGE POWER – SPECTRAL DENSITY RELATIONSHIP

The variance of a function f(t) is defined as,

$$\sigma^2 = \langle f^2(t) \rangle - \langle f(t) \rangle^2$$
 (A6.1)

where $\langle f^2(t) \rangle$ is called the ms and designates the power dissipated in a $1-\Omega$ resistor. It is equal to the autocorrelation function of f(t) at the point $\tau = 0$,

$$\langle f^{2}(t) \rangle = R_{f}(0)$$
 (A6.2)

Since,

$$R_{f}(\tau) = \begin{cases} +\infty \\ G_{f}(f) e^{2\pi j f \tau} df \end{cases}$$
(A6.3)

therefore,

$$R_{f}(0) - \langle f^{2}(t) \rangle = \int_{-\infty}^{+\infty} G_{f}(f) df = R_{f}(0)$$
 (A6.4)

If $\langle f(t) \rangle = 0$, then the average power of f(t) is equal to the variance,

$$\sigma^2 = \langle f^2(t) \rangle = R_f(0)$$
 (A6.5)

The autocorrelation function of a periodic signal f(t) is,

$$R_{f}(\tau) = \sum_{-\infty}^{+\infty} |C_{n}|^{2} e^{2\pi j n f_{0} \tau}$$
(A7.1)

where the Fourier coefficients C_n are given by,

$$C_{n} = (1/T_{s}) \begin{cases} +T_{s}/2 \\ f(t) e^{-2\pi j n f_{0} t} dt \\ -T_{s}/2 \end{cases}$$
(A7.2)

If f(t) is the dirac impulse train, its autocorrelation function is,

$$R_{c\delta}(\tau) = \sum_{-\infty}^{+\infty} |C_n|^2 e^{2\pi j n f_s \tau} = (1/T_s^2) \sum_{-\infty}^{+\infty} e^{2\pi j n f_s \tau}$$
(A7.3)

Its FT, called spectral density, is

$$G_{c\delta}(f) = \int_{-\infty}^{+\infty} R_{c\delta}(\tau) e^{-2\pi j} f\tau d\tau = (1/T_{s}^{2}) \sum_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} e^{-2\pi j (f - nf_{s})\tau} d\tau$$
$$= (1/T_{s}^{2}) \sum_{-\infty}^{+\infty} \delta(f - nf_{s})$$
(A7.4)

APPENDIX A8 SPECTRAL DENSITY OF SAMPLED NOISE

We assume a white gaussian noise at the input of a sampler.

The sampler output is then,

$$n_{\delta}(t) = n_{e}(t)c_{\delta}(t)$$
 (A8.1)

Since we are dealing with noise, which is a random process, instead of using FTs we should use spectral densities.

The autocorrelation function of eq.(A8.1) is,

$$R_{n\delta}(\tau) = R_{ne}(\tau)R_{c\delta}(\tau)$$
(A8.2)

Taking the FT of eq.(A8.2) and substituting into it eq.(A7.4) we get,

$$G_{n\delta}(f) = G_{ne}(f) * G_{c\delta}(f) = \int_{-\infty}^{+\infty} G_{ne}(f') G_{\delta}(f-f') df'$$
$$= (1/T_{s}^{2}) \sum_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} G_{ne}(f') \delta(f-f'-nf_{s}) df'$$
$$= (1/T_{s}^{2}) \sum_{-\infty}^{+\infty} G_{ne}(f-nf_{s})$$
(A8.3)

If this noise passes through the ideal low pass filter of eq.(2.13) we get,

$$G_{yn\delta}(f) = |H(f)|^2 G_{n\delta}(f) = (1/T_s^2) |H(f)|^2 \sum_{-\infty}^{+\infty} G_{ne}(f-nf_s)$$

$$= \begin{cases} \sum_{-\infty}^{+\infty} G_{ne}(f-nf_s) & |f| \leq f_s/2 \\ -\infty & & \\ 0 & |f| \geq f_s/2 \end{cases}$$
(A8.4)

APPENDIX A9 LISTING OF THE PROGRAM GIVING PCM SNR

IN THE PRESENCE OF ISI

C THIS PROGRAM CALCULATES THE PCM OUTPUT SNR IN PRESENCE OF
C ISI. IT FIRST CALCULATES THE PROBABILITY OF ERROR IN THE CASE
C OF A PCM SIGNAL IN PRESENCE OF WHITE GAUSSIAN NOISE AND ISI.
C IT MAKES USE OF A NEW METHOD FOR THE COMPUTATION OF

C THIS PROBABILITY OF ERROR.

C THE ROUTINE S15ADF CALCULATES THE Erfc(x).

DIMENSION XS(40),A(40),AA(40),P(40),PP(40),PPP(40),G(40),

.T(40),TT(40)

COMMON/VAL/W,NB

DOUBLE PRECISION XS,A,AA,P,PP,PPP,W,S15ADF,S14AAF,HAM,MOM,G,S

.**,X,T,**TT

C READ IN THE INPUT CNR VALUES XS(decimal).

READ(3,*)(XS(I),I=1,26)

C READ IN THE NUMBER OF BITS USED NB.

READ(3,*)NB

C READ IN THE RECEIVER BANDWIDTH W.

READ(3,*)W

DO 1 I=1,26

S= .0

A(I) = .5*S15ADF(.5*DSQRT(.5*XS(I)),1)

AA(I) = DEXP(-.0625*XS(I))

DO 3 J=1,5

X = 2.*J + 1.

```
S = S + (XS(I)^{*}J)^{*}HAM(2^{*}J - 1, DSQRT(XS(I))/(2.*DSQRT(2.D+0)))
```

.*MOM(J+1)/S14AAF(X,1)

3 CONTINUE

G(I) = AA(I) * S

PPP(I) = A(I) + G(I)

PP(I) = (2.**(2.*NB) - 1.)/(1.+4.*PPP(I)*(2.**(2.*NB) - 1.))

TT(I) = (2.**(2.*NB) - 1.)/(1.+4.*A(I)*(2.**(2.*NB) - 1.))

P(I) = 10.*DLOG10(ABS(PP(I)))

T(I) = 10.*DLOG10(ABS(TT(I)))

1 CONTINUE

WRITE(4,*)('THESE RESULTS ARE OBTAINED FOR NB EQUAL TO') WRITE(4,*)NB

WRITE(4,*)('W EQUAL TO')

WRITE(4,*)W

WRITE(4,*)('AND THE INPUT CNR XS EQUAL TO: ')

WRITE(4,26)(XS(I),I=1,26)

```
26 FORMAT(10(F5.1,2X))
```

```
WRITE(4,*)(G(I),I=1,26)
```

WRITE(4,*)('THE OUTPUT SNR IN dB IN PRESENCE OF ISI ARE:') WRITE(4,8)(P(I),I=1,26)

```
8 FORMAT(8(F5.2,3X))
```

STOP

```
END
```

C HER(N,X) IS A FUNCTION GIVING THE HERMITE POLYNOMIALS OF

```
C ORDER N AND ARGUMENT X.
```

DOUBLE PRECISION FUNCTION HER(N,X) DOUBLE PRECISION Y,X DIMENSION Y(40) Y(1)= .0 Y(2)=1.0

DO 4 I=1,N

Y(I+2) = X*Y(I+1) - (I-1)*Y(I)

II = I

4 CONTINUE

```
HER= Y(II+ 2)
```

RETURN

END

- C MOM(N) IS A FUNCTION GIVING THE MOMENT OF ISI OF ORDER N.
- C THE ROUTINE S14AAF(N) GIVES THE FACTORIEL OF (N-1).

DOUBLE PRECISION FUNCTION MOM(N) DIMENSION B(40),C(40),F(40),A(40,40),S(40,40) COMMON/VAL/W,NB

DOUBLE PRECISION A,B,C,F,S,W,S14AAF

- C B(2), B(3), B(4), B(5), B(6) ARE THE B2, B4, B6, B8, B10 BERNOULLI
- C NUMBERS.
 - B(2)=1./6.
 - B(3)=1./30.
 - B(4)=1./42.
 - B(5)=1./30.
 - B(6)=5./66.
 - F(2) = 1.
 - DO 2 K=2,N
 - S(2*K,2)=0.0
 - DO 3 J=2,K

A(K,J) = S14AAF(2.*K-2.,1)/((S14AAF(2.*J-2.,1)*S14AAF(2.*(K-J)+1.)))

*,1)))

$$C(J) = ((2.**(2.*J-2.)-1.)*(2.**(2.*J-2.)))/(2.*J-2.)$$

$$S(2*K,2*J) = S(2*K,2*J-2) + ((-1.)**J)*F(2*(K-J)+2)*C(J)*A(K,J)*B(J)$$

$$.*DEXP(-.942D0*(J-1)*W/NB)*((1.-.5*DEXP(-.471D0*W/NB))**(2.*(J-1)))$$

$$./(1.-DEXP(-.942D0*(J-1)*W/NB))$$

JJ=J

3 CONTINUE

F(2*K) = S(2*K, 2*JJ)

КК= К

2 CONTINUE

MOM = F(2*KK)

RETURN

END

